

Article



# Mechanisms for the Accumulation of Organic Matter in Sediments of the Middle Permian around Bogda Mountain, Southern Junggar Basin, NW China

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Abstract: The organic-rich shales and mudstones of the Middle Permian are the most important unconventional petroleum targets in the southern Junggar Basin of northwestern China. Although numerous studies have been vigorously conducted on paleoenvironment reconstructions, the organic matter enrichment mechanism is still controversial due to the utilization of problematic geochemical proxies established in early studies. In this study, major and trace elemental compositions, molecular markers, stable carbon isotopic compositions, and organic petrology were used to study multiple factors affecting the accumulation of organic matter in sediments. According to the results, a relatively hydrostatic, brackish, anoxic environment is proposed in the northern periphery of the Bogda Mountain, where the original structure of organic matter could be preserved with non-correlations between the productivity proxies (P and Ba) and TOC. In the western Bogda area, organic-rich sediments were deposited under suboxic conditions, with frequent fluctuation occurring between the top and bottom water columns. The accumulation of organic matter was the result of productivity blooms and rapid sedimentation. This improved study of the enrichment of organic matter in the Middle Permian around the Bogda Mountain can contribute to improved evaluations of the petroleum potential and distinguishing the characteristics of different organic matter enrichment models.

**Keywords:** organic rich sediments; middle permian; paleoenvironment; preservation model; productivity model

# 1. Introduction

Unconventional petroleum, tight/shale oil, and shale gas have received increasing interest from the scientific community, economic hierarchies, and political systems [1,2]. Researchers have vigorously evaluated organic-rich shale and studied the mechanisms responsible for the accumulation of organic matter [3–12]. The organic-rich shale in the middle Permian Lucaogou Formation of the southern Junggar Basin, is famous for its high grade, economic value, and large quantity [5,6,13,14]. There is a significant petroliferous basin located in the Junggar Basin (Xinjiang Uygur Autonomous Region in Northwest China), Figure 1 [15,16]. Numerous studies focused on oil shale in the Lucaogou Formation have been conducted in the Jimusar sag, northern periphery of Bogda Mountain [13,17–22]; however, the mechanism for the enrichment of organic matter in the Lucaogou Formation around the Bogda Mountain is still controversial due to the utilization of problematic geochemical proxy established in earlier published studies [23–25]. The origin of the



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**Copyright:** © 2023 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). enrichment of  $C_{28}$  and  $C_{29}$  regular steranes in organic rich sediments in the Lucaogou Formation is still unknown [21,26]. Most of the paleoredox reconstructions have been conducted by bimetal ratios (V/V + Ni; V/Cr) that were discouraged by Algeo and Liu (2020), because all transition group metals are enriched authigenically to some degree under reducing conditions [27,28]. The hypersaline environment of the Lucaogou Formation was still controversial, due to the geochemical proxies from biomarkers and trace metal indicating different paleosalinity [3,29,30]. On the other hand, a large amount of organicrich shales and mudstones developed in the fold belt (in Figure 1) was destroyed by the tectonic evolution of the Bogda Mountain, leading to high heterogeneity in the planar and longitudinal distributions of organic-rich sediments [31,32]. In summary, the accumulation of organic matter in the Lucaogou Formation around the Bogda Mountain should be restudied using a variety of valid geochemical proxies.



**Figure 1.** Map showing the location of outcrops and wells around Bogda Mountain in the Junggar Basin, northwestern China [16].

In this study, the samples were collected from Middle Permian around Bogda Mountains. The molecular markers [33–37] and organic petrology were utilized to study the source of organic matter, the enrichment factors (EFs) of trace metals were used to reconstruct the paleoredox conditions [27,38], and S/TOC and Sr/Ba were used to reassess the paleosalinity of organic-rich sediments in the Lucaogou Formation and Pingdiquan Formation from different areas around the Bogda Mountain. An improved understanding of the accumulation of organic matter in the Lucaogou Formation around the Bogda Mountain would contribute to improving the research involving petroleum potential evaluations and distinguishing the characteristics of different organic matter enrichment models.

## 2. Geological Setting

This study focuses on the fold belt around the Bogda Mountains in the southern Junggar Basin and the eastern segment of the Chinese North Tianshan (NTS). The main bodies of the Bogda Mountain are carboniferous volcanic rocks and contemporaneous submarine pyroclastic deposits [32]. During the Early and Middle Permian, the Bogda region was an intracontinental rift with extensive magmatic intrusion. At the beginning of the Late Permian, the initial uplift of the Bogda Mountain occurred [32]. From the

latest Permian to the Early Jurassic, the South Junggar Basin became a passively subsiding basin [39]. From the Late Jurassic to the Early Cretaceous, the rapid uplift and exhumation of the Bogda area occurred [31,40].

Sediments from Paleozoic to Cenozoic were deposited around the Bogda Mountain, and the thickness of the sedimentary rocks reached 5000 m during the Permian. The Middle Permian around the Bogda Mountain can be divided into four formations (see Figure 2), which are, from the base to the top: the Wulabo ( $P_2w$ ), Jingjingzigou ( $P_2j$ ), Lucaogou ( $P_2l$ ), and Hongyanchi Formations ( $P_2h$ ); while the northeastern area is divided into two formations: the Jiangjunmiao ( $P_2j$ ) and Pingdiquan Formations ( $P_2p$ ). The best organic-rich sediments were deposited in the Middle Permian Lucaogou Formation ( $P_2l$ ) and Pingdiquan Formation ( $P_2p$ ), which consist of from semi-deep to deep lacustrine shale rich in organic matter with average vertical thicknesses of 900–1500 m (target formation in gray). Shale, mudstone, sandstone, pebbly sandstone, conglomerate, and dolomitic mudstone were discovered in the study area.

	System/Forn	nation	Lithology	Tickness (m)	Legend		
	Triassio	2		529-735			
	Wutor (P <sub>3</sub>	nggou wt)		532-1052	Unconformity		
	Hongyanchi (P <sub>2</sub> h)	Pingdiquan	••••	362-928			
	Lucaogou (P <sub>2</sub> 1)	(P <sub>2</sub> p)		910-1551	${}$ Mudstone		
Permian	Jingjingzigou (P <sub>2</sub> j)	Jiangjunmiao		540-1589	Dolomitic Mudstone		
	Wulabo (P <sub>2</sub> w)	(P <sub>2</sub> p)	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	1078-1894	Sand-mudstone		
	Tashi (P			1465-2362	000		

**Figure 2.** Stratigraphic and lithological columns of Permian sediments around the Bogda Mountains (abbreviations in parentheses).

## 3. Material and Methods

# 3.1. Sampling

A total of 130 samples were obtained from 1 drill core and 3 outcrops of the Lucaogou Formation around the Bogda Mountain, southern Junggar Basin. The total organic carbon (TOC) measurements and Rock-Eval analysis were conducted on all organic-rich mudstones and shales. The molecular marker compositions, major oxides, and trace elements were conducted on 53 collected samples.

## 3.2. Measurement of Total Organic Carbon and Rock-Eval Pyrolysis

The selected samples were crushed into 100-mesh grains to measure the TOC content and conduct Rock-Eval analysis at the State Key Laboratory of Petroleum Resources and Prospecting, China University of Petroleum (Beijing). The samples were treated with 10% (vol) HCl at 60 °C to remove carbonate minerals and were cleaned with distilled water to remove any residual HCl. Then, the sample powders were dried at 50 °C for 10 h. Finally, an iron-tungsten cosolvent was added, and TOC analysis was conducted using a Leco CS-230 carbon analyzer with 99.5% oxygen as the carrier gas at 24 °C and a relative humidity of 48%. The accuracy of TOC is  $\sqrt{(0.1186 \times TOC + 0.05)^2 - \frac{(0.0384 \times TOC + 0.05)^2}{2}}$  based on Chinese National Standard GB/T 19145-2022. Rock-Eval pyrolysis was conducted using a Rock-Eval II instrument, and the samples were heated to 600 °C in a helium atmosphere; three parameters, S<sub>1</sub>, S<sub>2</sub>, and  $T_{max}$ , were generated in this process with the relative errors <10% based on the Chinese National Standard GB/T 18602-2012. The hydrogen index (HI = S<sub>2</sub>/TOC) was used to study the types of organic matter.

## 3.3. Analysis of Molecular Markers

The soluble bitumen extraction was conducted on 53 samples with a dichloromethane/ methanol mixture (93/7 v/v) using a Soxhlet apparatus for 24 h. After extraction, petroleum ether was used to dissolve the de-asphalted components and filter the bitumen. Then, the de-asphalted extracts were fractionated into saturated hydrocarbons, aromatic hydrocarbons, and polar compounds using column chromatography with alumina-silica gel (2:3) as the stationary phase. The saturated hydrocarbons were eluted using petroleum ether; petroleum ether with dichloromethane (1/2, v/v) for aromatic hydrocarbon fractions; and dichloromethane with methanol for the polar compounds. The biomarker compositions of the samples were analyzed using Agilent 5975i mass spectrometry (MS) coupled with an Agilent 6890 gas chromatography (GC), equipped with an HP-5MS fused silica column (60 m  $\times$  0.25 mm inner diameter; film thickness, 0.25  $\mu$ m). The GC oven temperature for analysis of the saturated fractions was initially held at 50 °C for 1 min; programmed to 120 °C at 20 °C/min, to 250 °C at 4°C/min, and to 310 °C at 3 °C/min; and held at 310 °C for 30 min. Helium was used as carrier gas. The ion source was operated in the electron in both scan (m/z 50–500) and single-ion monitoring mode (m/z 85–365). An internal standard, perdeuterated n-tetracosane, was added to quantify the specific molecular markers.

#### 3.4. Major Oxides and Trace Elements

The analysis of the major oxides, including SiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, Fe<sub>2</sub>O<sub>3</sub>, MgO, Na<sub>2</sub>O, K<sub>2</sub>O, MnO, TiO<sub>2</sub>, and P<sub>2</sub>O<sub>5</sub>, was conducted on an Axiosm AX X-ray fluorescence (XRF, Analtical Laboratory of BRIUG, Beijing, China) spectrometer using fused discs at the analytical laboratory of the Beijing Research Institute of Uranium Geology. The Chinese National Standard GB/T 14506.28-2010 was used as a reference.

The trace elements (V, Ni, Sr, Ba, Th, U, Co, Cr, Cu, Mo, Zr, Zn, Y, Ho, La, and Yb) were analyzed using inductively coupled plasma–mass spectrometry (ICP–MS) on a NexION 300D plasma mass spectrometer and quantified based on the Chinese National Standard GB/T 14506.30-2010. Briefly, each ground sample was dissolved in a mixed solvent of 30% HF and 68% HNO<sub>3</sub> at 190 °C for 24 h. Then, the solvent was removed by heating in a water bath, and the dried solid was redissolved in 2 mL of 6 mol/L HNO<sub>3</sub> in capped Teflon bombs at 150 °C for 48 h. The resultant solution was evaporated to near dryness

again, followed by the addition of 1 mL of 6 mol/L HNO<sub>3</sub>. All the samples were diluted for analysis. The detection limits for the evaluated elements were in the range of from 0.1 to 9 ppb.

To evaluate the extent of enrichment for each major and trace element, we calculated the enrichment factor (EF) based on Equation (1):

$$EFx = (X/Al)_{sample} / (X/Al)_{PAAS}$$
(1)

where  $(X/Al)_{sample}$  and  $(X/Al)_{PAAS}$  represent the abundance ratios of element X to aluminum (Al) in the samples and in post-Archean Australian shales PAAS, respectively [41]. The studied element was considered enriched in the sample relative to the PAAS if EF > 1 and depleted if EF < 1 [42].

Biogenic barium (Ba<sub>bio</sub>) associated with the sinking flux of organic matter was used as a geochemical proxy of the paleoproductivity in this study. Ba<sub>bio</sub> was determined by calculating the amount of barium in excess of the expected detrital Ba concentration (Ba<sub>detr</sub>) as follows.

$$[Ba_{bio}] = [Ba_{total}] - [Al] \times (Ba/Al)_{detr}$$
<sup>(2)</sup>

We assumed that all non-detrital Ba was biogenic in origin. The value of  $(Ba/Al)_{detr}$  was set to 0.0065 according to the average crustal  $(Ba/Al)_{detr}$  ratio [43,44].

#### 3.5. Organic Petrology

The polished blocks of the samples were prepared for organic petrography using the American Society for Testing and Materials procedure [45]. The maceral observation was carried out on a Leica microscope with a  $50 \times$  oil-immersion objective under reflected and fluorescent light [3]. Alginite, vitrinite, inertinite, and mineral-bituminous groundmasses were identified.

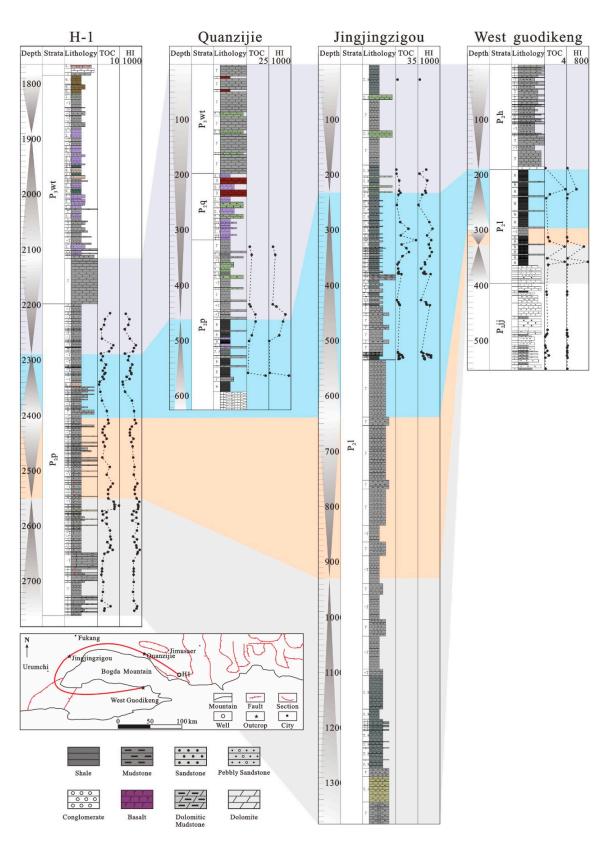
## 3.6. Stable Carbon Isotope

Following the fractionation of de-asphalted bitumens (Chapter 3.3), the measurement of the stable carbon isotope of saturated hydrocarbons were performed using a Thermo MAT-253 mass spectrometer coupled with an Agilent 7890 gas chromatograph. PDB was the standard, and the accuracy was estimated to be  $\pm 0.2\%$ .

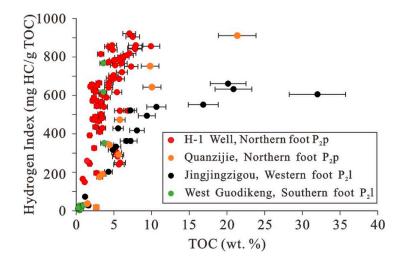
#### 4. Results

## 4.1. Distribution and Evaluation of Organic Rich Shales and Mudstones

Organic-rich shales are developed in the middle Permian around the Bogda Mountains, and the distributions of these shales are uneven. Two sedimentary cycles can be widely recognized in the middle Permian (P<sub>2</sub>l and P<sub>2</sub>p) around Bogda Mountain, which echoes the study conducted in the Jimusaer sag, northeast Bogda Mountain [46,47]. The boundary between these two cycles is formed by a maximum regressive surface, with the granularity of lithology changes from coarse to fine to coarse in each cycle. Organic-rich sediments can be identified in both cycles, with similar abundances and types of organic matter (Figure 3). TOC versus HI is shown in Figure 4. The shales and mudstones collected from both cycles of P<sub>2</sub>p in the northern Bogda Mountains (the H-1 well and Quanzijie outcrop) were measured to contain 1.48–21.35 wt. % TOC, with HIs of 149-922 mg HC/g TOC. In the western Bogda Mountains, shales and mudstones from the upper cycle in the Jingjingzigou outcrop had TOC contents between 1.16 wt. % and 31.99 wt. %, and the HIs ranged from 27 to 661 mg HC/g TOC. The TOCs of the West Guodikeng outcrops (southern Bogda Mountain) were between 0.27 wt. % and 3.72 wt. %, with the HIs reaching as high as 767 mg HC/g TOC. Table 1 shows the organic geochemical data of the samples analyzed in the laboratory.



**Figure 3.** Cross-section showing the stratigraphy, lithologic columns, sedimentary cycles, and abundance of organic matter in the Middle Permian around Bogda Mountain. Note: TOC = Total Organic Content; HI = Hydrogen Index.



**Figure 4.** Positive relationship between TOC and hydrogen index (HI) in different study regions around the Bogda Mountain.

# 4.2. Saturated Hydrocarbon Species

The samples selected in this study were not significantly biodegraded (see TIC in Figure 5), the content of biomarkers is effective in studying the paleoenvironment. The oddeven predominance of *n*-alkanes can be clearly observed in samples from the H-1 well with CPIs of 1.10-1.55 but is unclear in other outcrops (see Figure 5). The results of the calculated CPI and OEP are shown in Table 1. The pristane/phytane (Pr/Ph) ratios of all the samples ranged from 0.62 to 1.83 (see Figure 6a).  $Pr/C_{17}$  versus  $Ph/C_{18}$  clearly shows the variation characteristics of Pr/Ph and maturity in different regions (Figure 6b). Gammacerane can be identified (see Figure 5) but the levels are generally low (Table 1). The  $C_{29}$  regular steranes showed relative enrichment over  $C_{28}$  and  $C_{27}$  regular steranes in the majority of the samples.  $\beta$ -Carotane was ubiquitous in the middle Permian around the Bogda Mounrains and was quantified by examining the peak area relative to the internal standard.

## 4.3. Major and Trace Elements

The major elemental oxides analyzed herein are shown in Table 2: SiO<sub>2</sub> was the major component, constituting 25.19–69.97 wt. % of the shales and mudstones, followed by Al<sub>2</sub>O<sub>3</sub>, with an abundance of 4.24–16.87 wt. %. Other significant oxides included Fe<sub>2</sub>O<sub>3</sub> (1.87–7.4 wt. %), MgO (0.38–10.99 wt.%), CaO (0.37–19.99 wt. %), Na<sub>2</sub>O (0.66–5.16 wt. %), and K<sub>2</sub>O (0.88–5.26 wt. %). The abundances of MnO, TiO<sub>2</sub>, and P<sub>2</sub>O<sub>5</sub> were all less than 1.0 wt.%. Based on these major components, the enrichment factors (EFs) of trace metal elements were calculated with the results listed in Table 3.

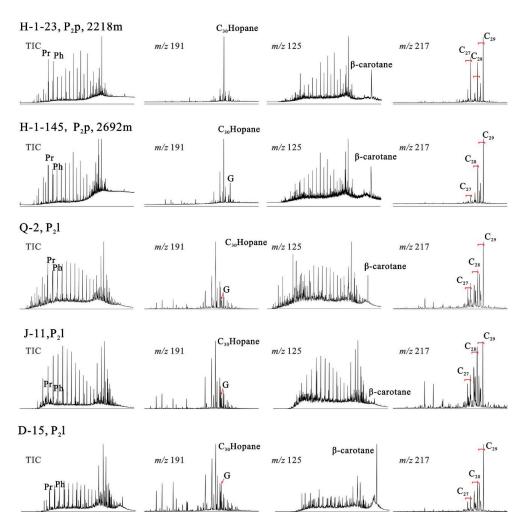
## 4.4. Stable Carbon Isotopes

The stable carbon isotopic composition of soluble organic matter extracted from the sediments is shown in Table 1. A negative correlation exists between TOC and  $\delta^{13}$ C (Figure 7). The areal size of the point in the figure is the abundance of P, which will be discussed later. When TOC is greater than 2 wt. %, the  $\delta^{13}$ C values are generally less than -30%, with the maximum negative value reaching -33.6%. The samples collected from the southern Bogda Mountains with TOC <1% tend to have high  $\delta^{13}$ C values.

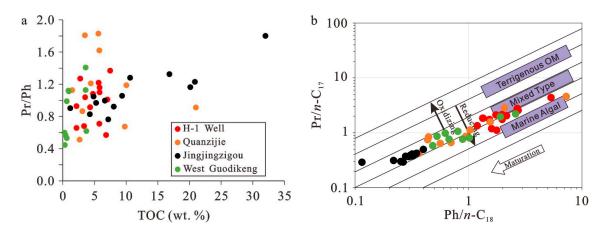
				0.0,											
Sample ID Stratum Well/Outcrops	H1-23 P <sub>2</sub> p H-1	H1-30 P <sub>2</sub> p H-1	H1-54 P <sub>2</sub> p H-1	H1-83 P <sub>2</sub> p H-1	H1-100 P <sub>2</sub> p H-1	H1-118 P <sub>2</sub> p H-1	H1-134 P <sub>2</sub> p H-1	H1-145 P <sub>2</sub> p H-1	H1-153 P <sub>2</sub> p H-1	H1-154 P <sub>2</sub> p H-1	H1-155 P <sub>2</sub> p H-1	B-1 P <sub>2</sub> p H-1	B-3 P <sub>2</sub> p H-1	B-5 P <sub>2</sub> p H-1	D-13 P <sub>2</sub> 1 W
TOC	3.52	2.15	2.16	4.31	7.48	5.81	7.03	2.74	4.76	3.36	6.83	5.81	5.77	5.65	0.31
S	0.12	0.08	0.13	0.69	1.63	0.58	2.27	0.85	0.95	0.38	0.63	1.94	0.5	1.74	0.04
HI	410	477	572	654	905	797	922	652	861	430	809	250	521	240	13
Pr/Ph	1.04	0.93	0.66	0.91	1.37	1.1	1.01	1.27	1.08	0.68	0.57	1.16	0.71	1.22	0.45
CPI	1.47	1.1	1.49	1.26	1.2	1.31	1.23	1.24	1.25	1.55	1.5	1.5	1.44	1.44	1.07
OEP	1.61	1.16	1.63	1.42	1.3	1.44	1.31	1.32	1.33	1.67	1.61	1.68	1.5	1.58	1.13
$G/C_{30}H$	0.07	0.08	0.07	0.08	0.22	0.2	0.22	0.42	0.15	0.04	0.04	0.07	0.04	0.08	0.1
Steranes/C <sub>30</sub> H	0.3	0.31	0.58	0.36	0.17	0.32	0.24	0.7	0.37	0.91	0.56	0.27	0.4	0.32	0.23
β-carotane	0.17	0.01	0.07	0.47	0.63	0.09	0.11	0.05	0.08	0.08	0.43	0.29	0.24	0.14	0.01
δ <sup>13</sup> C		-0.2	-30	-30.9	-31.8					-30.4	-33.6	-30.9	-33.3	-30.9	
Sample ID Stratum Well/Outcrops	D-14 P <sub>2</sub> 1 W	D-15 P <sub>2</sub> l W	D-16 P <sub>2</sub> 1 W	D-17 P <sub>2</sub> l W	D-18 P <sub>2</sub> 1 W	D-19 P <sub>2</sub> l W	D-20 P <sub>2</sub> 1 W	D-21 P <sub>2</sub> 1 W	D-22 P <sub>2</sub> 1 W	J-2 P <sub>2</sub> 1 J	J-4 P <sub>2</sub> 1 J	J-6 P <sub>2</sub> 1 J	J-8 P <sub>2</sub> 1 J	J-9 P <sub>2</sub> 1 J	J-10 P <sub>2</sub> 1 J
TOC	0.63	3.6	0.42	3.59	0.88	0.61	0.27	0.94	3.72	1.17	5.26	4.26	7.16	20.14	8.04
S	0.09	0.02	0.01	0.02	0.01	0.01	0.01	0.03	0.02	0.01	0.03	0.03	0.07	0.07	0.03
HI	22	767	26	617	28	8	15	28	351	73	333	202	522	661	417
Pr/Ph	0.99	1.13	0.57	1.41	1.12	0.53	0.6	1.11	0.62	0.9	0.97	0.83	0.76	1.16	0.92
CPI	1.22	1.16	1.12	1.2	1.25	1.12	1.06	1.47	1.38	1.14	1	1.1	1.08	1.06	1.05
OEP	1.2	1.2	1.16	1.13	1.25	1.09	0.93	1.33	1.14	1.13	0.95	1.09	1.08	1	1.02
$G/C_{30}H$	0.09	0.31	0.16	0.28	0.63	0.12	0.13	0.28	0.36	0.13	0.08	0.06	0.1	0.13	0.13
Steranes/C <sub>30</sub> H	0.24	0.18	0.37	0.24	0.91	0.73	0.4	0.94	0.12	0.09	0.09	0.13	0.14	0.13	0.13
β-carotane	0.01	0.2	0.01	0.38	0.01	0.01	0.01	0.01	0.29	0.03	0.01	0.01	0.01	0.02	0.01
δ <sup>13</sup> C	-23.2				-26.6	-22.1		-27.6	-31.6						-32.5
Sample ID Stratum Well/Outcrops	J-11 P <sub>2</sub> 1 J	J-12 P <sub>2</sub> 1 J	J-13 P <sub>2</sub> 1 J	J-14 P <sub>2</sub> 1 J	J-15 P <sub>2</sub> 1 J	J-16 P <sub>2</sub> 1 J	J-17 P <sub>2</sub> 1 J	Q-1 P <sub>2</sub> p Q	Q-2 P <sub>2</sub> p Q	Q-3 P <sub>2</sub> p Q	Q-4 P <sub>2</sub> p Q	Q-5 P <sub>2</sub> p Q	Q-6 P <sub>2</sub> p Q	Q-7 P <sub>2</sub> p Q	Q-10 P <sub>2</sub> p Q
TOC	31.99	9.36	16.84	20.85	10.62	4.85	6.64	3.49	5.61	3.1	4.43	9.79	10.04	5.77	21
S	0.04	0.04	0.06	0.06	0.04	0.08	0.04	0.03	0.05	0.03	0.02	0.06	0.4	0.12	0.06
HI	606	494	552	632	540	317	363	190	292	176	342	753	643	473	912
Pr/Ph	1.8	1.06	1.33	1.23	1.28	1.05	1	1.81	1.83	0.87	1.21	0.67	1.19	1.62	0.91
CPI	0.91	0.95	1.09	1.1	1.09	1.14	1.06	1.25	1.2	1.14	1.23	1.14	1.11	1.11	0.9
OEP	0.88	0.95	1.1	1.1	1.07	1.19	1.07	1.31	1.26	1.14	1.09	1.09	1.14	1.13	0.89
G/C <sub>30</sub> H	0.12	0.12	0.15	0.15	0.15	0.1	0.1	0.04	0.05	0.03	0.07	0.05	0.07	0.1	0.15
Steranes/ $C_{30}H$	0.09	0.1	0.11	0.09	0.12	0.1	0.09	0.12	0.13	0.14	0.14	0.09	0.2	0.07	0.03
$\beta$ -carotane	0.01	0.01	0.03	0.01	0.04	0.01	0.01	0.03	0.1	0.1	0.24	0.23	0.15	0.13	0.09
δ <sup>13</sup> C		-32.5		-32.5		-31.8	-31.5	-31.2		-31.3		-31			-32.5

**Table 1.** Organic geochemical data of samples from outcrops and drill core around the Bogda Mountain (unit of TOC and S in wt. %; HI in mg HC/g TOC;  $\delta^{13}$ C in %;  $\beta$ -carotane in  $\mu g/g$ ).

Note: H-1 = H-1 well; W= West Guodikeng (southern Bogda Mountain); J = Jingjingzigou (western Bogda Montain); and Q = Quanzijie (northern Bogda Mountain).



**Figure 5.** Mass chromatograms (TIC, m/z 191, m/z 125, and m/z 217) showing the distributions of hopanes, steranes, and  $\beta$ -carotanes of sediments in Lucaogou Formation around Bogda Mountain. Note: TIC = Total ion chromatogram; Pr = Pristane; Ph = Phytane; and G = Gammacerane.



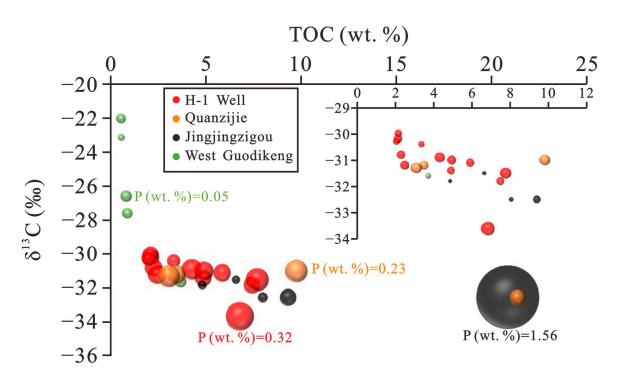
**Figure 6.** Pristane, Phytane, and *n*-alkanes are used to study the source input and paleoredox of organic rich sediments in the Lucaogou Formation around the Bogda Mountain [34]. (a): Relationship between Pr/Ph and TOC; (b): Relationship between Pr/n-C<sub>17</sub> and Ph/n-C<sub>18</sub>.

Sample ID Well/Outcrops	PAAS	H1-14 H-1	H1-21 H-1	H1-30 H-1	H1-35 H-1	H1-51 H-1	H1-54 H-1	H1-56 H-1	H1-61 H-1	H1-62 H-1	H1-71 H-1	H1-75 H-1	H1-83 H-1	H1-91 H-1	H1-94 H-1	H1-100 H-1	H1-106 H-1	H1-110 H-1
$\begin{array}{c} \text{TOC}\\ \text{SiO}_2\\ \text{Al}_2\text{O}_3\\ \text{Fe}_2\text{O}_3\\ \text{MgO}\\ \text{CaO}\\ \text{Na}_2\text{O}\\ \text{K}_2\text{O}\\ \text{MnO}\\ \text{TiO}_2\\ \text{P}_2\text{O}_5 \end{array}$	62.8 18.9 7.2 2.2 1.3 1.2 3.7 0.1 1 0.2	$58.6 \\ 16.9 \\ 6.9 \\ 1.3 \\ 1.1 \\ 1 \\ 1.4 \\ 0.1 \\ 0.9 \\ 0.1$	$53.8 \\ 11.3 \\ 6 \\ 1.8 \\ 8.6 \\ 2.1 \\ 1.4 \\ 0.1 \\ 0.8 \\ 0.3$	$\begin{array}{c} 2.2 \\ 51.2 \\ 11.1 \\ 4.6 \\ 2.1 \\ 9.9 \\ 1.8 \\ 1.8 \\ 0.1 \\ 0.6 \\ 0.3 \end{array}$	$5.4 \\ 56.8 \\ 10.9 \\ 4 \\ 2 \\ 5.3 \\ 1.7 \\ 1.9 \\ 0.1 \\ 0.5 \\ 0.3 \\ 0.3 \\ 0.1 \\ 0.5 \\ 0.3 \\ 0.1 \\ 0.5 \\ 0.3 \\ 0.1 \\ 0.5 \\ 0.3 \\ 0.1 \\ 0.5 \\ 0.3 \\ 0.5 \\ 0.3 \\ 0.5 \\ 0.3 \\ 0.5 \\ 0.3 \\ 0.5 \\ $	$ \begin{array}{c} 1.4 \\ 55 \\ 12.5 \\ 6.1 \\ 2 \\ 5.5 \\ 2 \\ 0.1 \\ 0.8 \\ 0.2 \\ \end{array} $	$\begin{array}{c} 2.2 \\ 55.1 \\ 12.5 \\ 5.7 \\ 2.7 \\ 4 \\ 2.1 \\ 2.1 \\ 0.1 \\ 0.7 \\ 0.2 \end{array}$	$\begin{array}{c} 4.4\\ 55.6\\ 11.1\\ 4.2\\ 2.8\\ 4.5\\ 2\\ 2.1\\ 0.1\\ 0.5\\ 0.2\\ \end{array}$	$\begin{array}{c} 4.9\\ 55.3\\ 11.1\\ 4.9\\ 2.7\\ 4.6\\ 1.6\\ 2.1\\ 0.1\\ 0.6\\ 0.3\end{array}$	$5.2 \\ 55.8 \\ 11.3 \\ 5.1 \\ 2.4 \\ 4.1 \\ 1.7 \\ 2.1 \\ 0.1 \\ 0.7 \\ 0.3$	$\begin{array}{c} 2.7\\ 47.4\\ 9.2\\ 4.4\\ 5.2\\ 9.7\\ 1.5\\ 1.7\\ 0.1\\ 0.5\\ 0.2\end{array}$	$\begin{array}{c} 2.3 \\ 57.7 \\ 11.2 \\ 5 \\ 2.4 \\ 4 \\ 1.7 \\ 2.1 \\ 0.1 \\ 0.6 \\ 0.3 \end{array}$	$\begin{array}{c} 4.3 \\ 53.3 \\ 10.8 \\ 4.5 \\ 2.4 \\ 7.1 \\ 1.9 \\ 2.2 \\ 0.1 \\ 0.5 \\ 0.4 \end{array}$	$\begin{array}{c} 6.1 \\ 55.3 \\ 10.9 \\ 4.1 \\ 1.8 \\ 5.9 \\ 1.9 \\ 2.5 \\ 0.1 \\ 0.5 \\ 0.3 \end{array}$	$\begin{array}{c} 4.9\\ 53\\ 11.3\\ 4.1\\ 1.9\\ 7.5\\ 2.3\\ 2.4\\ 0.1\\ 0.5\\ 0.3\end{array}$	$7.5 \\ 54.4 \\ 10.3 \\ 3.7 \\ 2.1 \\ 5.7 \\ 2.3 \\ 2.4 \\ 0.1 \\ 0.5 \\ 0.3$	$7.8 \\ 53.2 \\ 10.8 \\ 4 \\ 2.1 \\ 5.5 \\ 2.3 \\ 2.5 \\ 0.1 \\ 0.5 $	$2.6 \\ 54.6 \\ 12 \\ 4.5 \\ 2.7 \\ 6 \\ 1.9 \\ 2.7 \\ 0.1 \\ 0.6 \\ 0.2$
Sample ID	H1-118	H1-123	H1-128	H1-134	H1- 140	H1-148	H1- 153	H1-154	H1- 155	D-14	D-15	D-16	D-17	D-18	D-19	D-20	D-21	D-22
Well/Outcrops	H-1	H-1	H-1	H-1	H-1	H-1	H-1	H-1	H-1	W	W	W	W	W	W	W	W	W
$\begin{array}{c} \text{TOC}\\ \text{SiO}_2\\ \text{Al}_2\text{O}_3\\ \text{Fe}_2\text{O}_3\\ \text{MgO}\\ \text{CaO}\\ \text{Na}_2\text{O}\\ \text{K}_2\text{O}\\ \text{MnO}\\ \text{TiO}_2\\ \text{P}_2\text{O}_5\\ \end{array}$	5.8 52.8 10.1 4 3 6.4 2.2 2.3 0.1 0.5 0.2 <b>J-10</b> <b>J</b>	5.9 55.8 11.2 4.7 2.1 4.2 2.2 2.6 0.1 0.6 0.3 <b>J-11</b> <b>J</b>	4.5 55.6 11.6 4.8 2.1 5.5 2 3 0.1 0.6 0.2 <b>J-12</b> <b>J</b>	7 52.7 9.7 3.5 2 7.8 1.8 3 0.1 0.5 0.3 <b>J-13</b> <b>J</b>	2.5 39.7 8.5 3.7 1.9 20 1.6 2.1 0.1 0.5 0.3 <b>J-14</b> <b>J</b>	2.1 49.6 10.4 4 3 10.3 2.7 2.9 0.1 0.5 0.2 <b>J-15</b> <b>J</b>	4.8 49.9 9.3 3.6 4.2 2.3 2.5 0.1 0.4 0.2 <b>J-16</b> <b>J</b>	3.4 65 10.3 5.8 2.3 1.5 1.3 1.5 0.1 0.5 0.2 <b>J-17</b> <b>J</b>	6.8 55 10.2 4.3 2.4 5 1.3 1.6 0.1 0.5 0.7 <b>Q-1</b> <b>Q</b>	0.6 47.3 13.3 3.6 2.3 10.8 3.6 5.3 0.1 0.6 0 <b>Q-2</b> <b>Q</b>	3.6 40.1 4.2 4.4 8.3 14.2 1.3 1.6 0.2 0 0 <b>Q-3</b> <b>Q</b>	0.4 58.5 13.7 5.7 2.4 3.8 4.5 3.9 0.1 0.6 0.1 <b>Q-4</b> <b>Q</b>	3.6 37.2 7.2 4.1 8.5 12.9 2.5 1.7 0.2 0.3 0.1 <b>Q-5</b> <b>Q</b>	0.9 59.5 14.3 6.1 2.8 1.5 4 4.3 0.1 0.6 0.1 <b>Q-6</b> <b>Q</b>	0.6 58.1 14.7 7.4 3.1 2 5.2 2.7 0.1 0.5 0.1 <b>Q-7</b> <b>Q</b>	0.3 60.8 13.1 4.3 2.5 3.8 3.6 3.8 0.1 0.5 0.1 <b>Q-8</b> <b>Q</b>	0.9 60.5 12.1 4.1 2.3 3.4 3.3 3.5 0.2 0.5 0.1 <b>Q-9</b> <b>Q</b>	3.7 61.2 12.9 4.1 2.3 1.7 3.3 3.5 0 0.5 0.1 <b>Q-10</b> <b>Q</b>
$\begin{array}{c} \text{TOC}\\ \text{SiO}_2\\ \text{Al}_2\text{O}_3\\ \text{Fe}_2\text{O}_3\\ \text{MgO}\\ \text{CaO}\\ \text{Na}_2\text{O}\\ \text{Na}_2\text{O}\\ \text{K}_2\text{O}\\ \text{MnO}\\ \text{TiO}_2\\ \text{P}_2\text{O}_5 \end{array}$	$8 \\ 53.4 \\ 2 \\ 6.5 \\ 1.5 \\ 2.8 \\ 4.1 \\ 2.5 \\ 0.1 \\ 0.6 \\ 0.1$	32 40 6.3 3.2 1.8 7.3 2.7 0.9 0.1 0.3 2.8	$9.4 \\ 59 \\ 13.8 \\ 4 \\ 0.4 \\ 1 \\ 4 \\ 2.9 \\ 0.1 \\ 0.6 \\ 0.3$	$16.8 \\ 50.4 \\ 9.5 \\ 4.7 \\ 1.5 \\ 3 \\ 3.6 \\ 1.6 \\ 0.1 \\ 0.4 \\ 0.8 \\ 1.6 \\ 0.1 \\ 0.4 \\ 0.8 \\ 1.6 \\ 0.1 \\ 0.4 \\ 0.8 \\ 1.6 \\ 0.1 \\ 0.4 \\ 0.8 $	$\begin{array}{c} 20.9 \\ 45.8 \\ 8.1 \\ 3.9 \\ 2.9 \\ 6.2 \\ 3.3 \\ 1.2 \\ 0.1 \\ 0.4 \\ 3.6 \end{array}$	$10.6 \\ 25.2 \\ 5.2 \\ 3.1 \\ 11 \\ 15.6 \\ 1.7 \\ 1.1 \\ 0.1 \\ 0.3 \\ 0.1$	$\begin{array}{c} 4.9 \\ 61.6 \\ 12.3 \\ 5 \\ 1.2 \\ 1.8 \\ 5.1 \\ 2 \\ 0.1 \\ 0.6 \\ 0.1 \end{array}$	$\begin{array}{c} 6.6\\ 55.5\\ 13.8\\ 6.1\\ 1.4\\ 1.5\\ 4.7\\ 3.1\\ 0.1\\ 0.7\\ 0.1 \end{array}$	$\begin{array}{c} 3.5 \\ 63.5 \\ 12.4 \\ 3.2 \\ 1.8 \\ 2 \\ 1.1 \\ 2 \\ 0 \\ 0.6 \\ 0.3 \end{array}$	$5.6 \\ 64.2 \\ 12.3 \\ 2.9 \\ 1.7 \\ 1.8 \\ 1.1 \\ 1.9 \\ 0 \\ 0.6 \\ 0.4$	$\begin{array}{c} 3.1 \\ 70 \\ 10.2 \\ 3.4 \\ 0.8 \\ 1.9 \\ 1.3 \\ 1.9 \\ 0.1 \\ 0.5 \\ 0.5 \end{array}$	$\begin{array}{c} 4.4 \\ 68.3 \\ 13.4 \\ 1.9 \\ 1.3 \\ 0.5 \\ 1.2 \\ 2.1 \\ 0 \\ 0.6 \\ 0.2 \end{array}$	$9.8 \\ 54.8 \\ 9 \\ 3.3 \\ 2.9 \\ 5.8 \\ 1.2 \\ 1.5 \\ 0.1 \\ 0.4 \\ 0.5 \\$	$10 \\ 47.9 \\ 5.3 \\ 4.9 \\ 4.6 \\ 10.7 \\ 0.7 \\ 1 \\ 0.2 \\ 0.3 \\ 0.5$	$5.8 \\ 58.9 \\ 11.5 \\ 5.1 \\ 2.5 \\ 1.6 \\ 1.7 \\ 2.1 \\ 0.1 \\ 0.5 \\ 0.3$	$2.6 \\ 51.4 \\ 10.9 \\ 5 \\ 3.8 \\ 5.4 \\ 2.2 \\ 2.5 \\ 0.1 \\ 0.5 \\ 0.4$	$1.5 \\ 69.5 \\ 14.1 \\ 2.3 \\ 0.5 \\ 0.4 \\ 4.5 \\ 2.4 \\ 0 \\ 0.6 \\ 0.1$	$21.4 \\ 56.3 \\ 10.1 \\ 3.1 \\ 0.4 \\ 0.9 \\ 3.9 \\ 1.4 \\ 0 \\ 0.5 \\ 0.2$

Table 2. Major oxides components of sediments from the Lucaogou Formation around the Bogda Mountain (unit in wt. %).

Sample ID	H1-14	H1-21	H1-30	H1-35	H1-51	H1-54	H1-56	H1-61	H1-62	H1-71	H1-75	H1-83	H1-91	H1-94	H1-100	H1-106	H1-110	H1-118
Mo <sub>EF</sub>	0.78	2.05	3.46	6.76	3.31	2.68	4.76	6.31	5.26	4.85	4.44	5.25	7.1	7.77	8.8	7.99	3.18	7.43
$V_{EF}$	0.83	1.51	1.13	1.25	1.1	1.1	1.15	1.23	1.19	1.46	1.19	1.19	1.2	1.14	1.23	1.23	1.07	1.16
Cu <sub>EF</sub>	0.92	1.24	1.12	1.38	0.99	1.07	1.35	1.37	1.42	1.29	1.25	1.32	1.69	1.41	1.73	1.7	1.26	1.5
U <sub>EF</sub>	0.99	2.79	1.5	1.85	1.49	1.3	1.75	1.69	1.72	1.62	1.61	1.68	1.69	1.87	1.57	1.8	1.15	1.42
Ba <sub>EF</sub>	5.96	13.28	4.06	3.83	3.72	3.3	2.86	3.24	3.22	2.09	2.88	4.94	2.04	2.13	2.27	2.55	3.19	2.76
Sr/Ba	0.05	0.06	0.24	0.2	0.18	0.2	0.27	0.24	0.24	0.72	0.26	0.26	0.55	0.62	0.46	0.46	0.3	0.48
(La/Yb) <sub>N</sub>	0.62	0.56	0.59	0.54	0.62	0.65	0.59	0.59	0.61	0.58	0.56	0.64	0.57	0.62	0.67	0.63	0.68	0.64
Sample ID	H1-123	H1-128	H1-134	H1-140	H1-148	H1-153	H1-154	H1- 155	D-14	D-15	D-16	D-17	D-18	D-19	D-20	D-21	D-22	J-10
Mo <sub>EF</sub>	8.07	6.44	10.23	10.24	7.48	7.16	5.5	5.23	2.23	47.25	0.59	7.3	1.82	0.33	2.54	1.57	0.71	20.54
V <sub>EF</sub>	1.38	1.23	1.3	1.1	1.09	1.16	1.36	1.37	1.47	3.03	0.72	1.25	0.79	0.76	0.6	0.79	0.68	2.82
Cu <sub>EF</sub>	1.74	1.59	1.56	1.11	1.29	1.22	1.62	1.47	1.61	2.04	1.14	1.64	1.19	1.11	0.93	1.43	1.07	7.5
$U_{\rm EF}$	1.7	1.34	1.88	2.34	1.77	1.97	1.4	1.63	0.97	1.52	0.76	2.52	1	0.62	1.02	0.89	1.16	2.47
Ba <sub>EF</sub>	2.57	2.59	3.29	5.98	6.93	1.89	1.03	1.29	1.31	1.69	0.79	1.54	0.84	0.65	0.96	0.97	0.84	3.08
Sr/Ba	0.37	0.44	0.5	0.6	0.25	0.76	0.7	1.01	1.29	3.09	0.63	1.89	0.61	0.58	0.71	0.57	0.46	0.62
(La/Yb) <sub>N</sub>	0.61	0.65	0.63	0.49	0.6	0.51	0.51	0.78	0.38	0.43	0.53	0.48	0.6	0.57	0.57	0.41	0.68	0.85
Sample ID	J-11	J-12	J-13	J-14	J-15	J-16	J-17	Q-1	Q-2	Q-3	Q-4	Q-5	Q-6	Q-7	Q-8	Q-9	Q-10	
Mo <sub>EF</sub>	9.16	3.08	11.78	7.19	14.86	3.17	8.67	1.32	1.83	6.7	1.65	5.92	41.37	1.99	6.67	2.74	6.04	
V <sub>EF</sub>	1.08	0.42	1.08	1.01	2.58	0.77	0.71	1.02	0.86	1.12	0.83	1.13	1.61	1.02	1.5	0.52	0.67	
Cu <sub>EF</sub>	1.51	1.04	1.83	1.75	1.62	1.01	1.36	1.2	1.21	1.44	1.15	1.7	3.52	1.43	1.64	0.83	1.32	
$U_{\rm EF}$	1.68	0.28	1.72	1.62	0.78	0.18	0.14	0.98	1.07	1.29	1	1.64	3.8	1.02	1.85	0.68	1.23	
Ba <sub>EF</sub> Sr/Ba	1.97	0.39	0.91	1.72	4.1	0.48	0.51	0.54	0.51	1.25	0.65	1.04	2.05	1.02	0.99	0.52	0.75	
Sr/Ba	1.08	0.47	0.41	1.39	1.14	0.38	0.31	0.65	0.58	0.33	0.28	1.16	0.59	0.43	0.94	0.33	0.39	
(La/Yb) <sub>N</sub>	0.49	1.31	0.86	0.6	0.41	1.48	1.65	0.38	0.42	0.51	0.52	0.66	0.57	0.54	0.55	0.62	0.69	

Table 3. Enrichment factors (EFs) of some trace elements and bi-elemental ratio of sediments from the Lucaogou Formation.



**Figure 7.** Relationship between TOC and stable carbon isotopic composition. The areal size of points is the abundance of P.

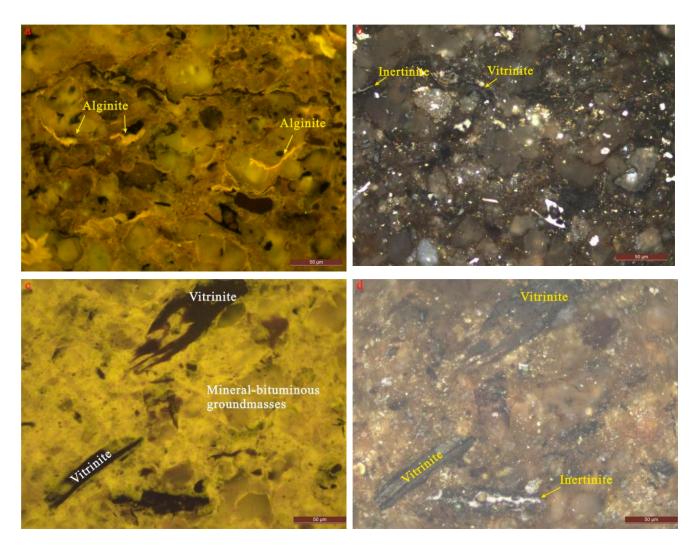
## 5. Discussion

#### 5.1. Source of Organic Matter in Shales and Mudstones

In this study, the samples with high TOCs tended to have high HIs, indicating that the hydrogen-rich components were the main source of organic matter in organic-rich sediments. For the samples containing unusually high TOCs (~32 wt. %), the HIs were also higher than 600 (mg HC/g TOC), which ruled out the possibility of the samples originating from coal measure strata (see Figure 4). The difference between the slopes of HI and TOC revealed the different source inputs of organic matter from different regions around the Bogda Mountains. In the western Bogda Mountains region (W Bogda), the HIs of organic-rich sediments reached 600 (mg HC/g TOC), while those in the northern area (N Bogda) exceeded 900 (mg HC/g TOC).

To clarify the organic source inputs in different regions, organic petrography analysis was conducted to study the morphological structure of organic matter, Figure 8. A study on samples from the H-1 well was conducted in the previous research [3]. In the Quanzijie area and H-1 well (N Bogda), the sedimentary organic matter was mainly composed of structural alginate (bright yellow fluorescence) and mineral-bituminous groundmasses, with little structural vitrinite and inertinite from higher plants. However, in the Jingjingzigou area (W Bogda), the organic matter accumulated in sediments was mainly in the form of mineral-bituminous groundmasses, without any original structure. It is difficult to observe structural alginate; instead, the vitrinite structure is intact. These differences in the organic materal compositions can effectively explain the variations among HIs from the different regions shown in Figure 4.

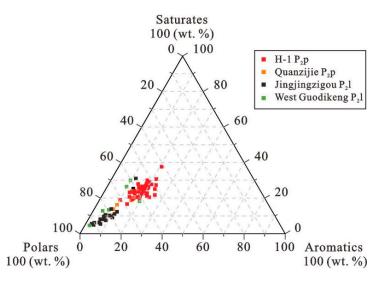
Furthermore, the gross composition of soluble organic matter extracted from sediments was separated into saturated hydrocarbons, aromatic hydrocarbons, and polar components, and the relative abundances of these components are shown in a ternary plot (Figure 9). The polar organic macromolecules containing heteroatoms such as NSO were more abundant in sediments from western Bogda than in samples from the H-1 well and Quanzijie (N Bogda). These results again echo the differences in the HI and organic maceral compositions described above.



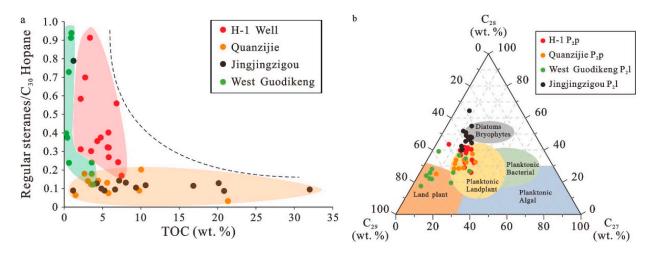
**Figure 8.** Photomicrographs showing macerals in organic rich sediments from Quanzijie outcrop and Jingjingzigou outcrop. (**a**) Structural alginite under fluorescent light in Q-6 (HI = 643 mg HC/g TOC); sample from Quanzijie outcrops, northern Bogda Mountain. (**b**) Same field as (**a**) under reflected light; clastic vitrinite and inertinite dispersed among minerals. (**c**) Mineral-bituminous groundmasses under fluorescent light in J-11; sample from Jingjingzigou outcrops, western Bogda Mountain. (**d**) Same field as (**d**) under reflected light; structural vitrinite and inertinite detected in sediments.

The biomarkers in saturated hydrocarbon fractions are often used to study the sources of organic matter [33–35,37]. Hopanes have been proposed to be derived from the cyclization of squalene precursors, which could be biomarkers of bacteria [48,49], and steranes are derived from eukaryotes, likely algae and higher plants [50]. The relative abundances of  $C_{30}$  hopane to regular steranes are shown in Figure 10a. Compared to the H-1 well (NE Bogda) and West Guodikeng outcrop (S Bogda), the contribution of bacteria from Jingjingzigou (W Bogda) was relatively higher, potentially indicating that bacterial reactions consumed the native structure of algae, leading to the accumulation of amorphous organic matter (see Figure 8c). For eukaryotes, the relative abundances of  $C_{27}$ ,  $C_{28}$ , and  $C_{29}$  regular steranes were used to distinguish the aquatic contributions to the organic matter in the sediments from land-derived material [25,51–53].  $C_{27}$  regular steranes have long been considered markers for zooplankton and algae, while  $C_{29}$  steranes are considered markers for land vascular plants [50,54–56]. The origin of  $C_{28}$  steranes was proposed to be chlorophyll c-containing phytoplankton, including diatoms, coccolithophores, and dinoflagellates, by Zhang and Sun [26]. The ternary diagram of the relatively regular steranes shows that  $C_{29}$ 

steranes, followed by  $\alpha\alpha\alpha 20R C_{28}$  steranes, were predominant in the sediments sampled in this study (Figure 10b). The source of organic matter in West Guodikeng (S Bogda) was mainly of land vascular plants, which is consistent with the HI data. Multiple inputs from plankton and land plants have been interpreted to form organic-rich sediments in the H-1 well and Quanzijie outcrop; these findings are consistent with the data shown in Figure 6b. On the western side of the Bogda Mountains, the abundance of  $\alpha\alpha\alpha 20R C_{28}$ steranes may indicate phytoplankton inputs. However, the absence of any native organic source structure causes it to be difficult to discern the primary input sources. As a result, the organic-rich sediments of Jingjingzigou were thought to be more likely to come from bacterial alteration forming amorphous organic matter.



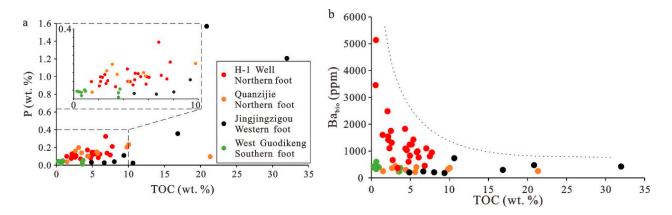
**Figure 9.** Ternary diagram showing the group components of soluble organic matter from sediments of P<sub>2</sub>l and P<sub>2</sub>p.



**Figure 10.** Biomarker compositions for the study of organic matter source. (a) Relationship between regular steranes/ $C_{30}$  hopane and TOC; (b) Ternary diagram showing the relative abundance of  $C_{27}$ ,  $C_{28}$  and  $C_{29} \alpha \alpha \alpha 20R$  regular steranes. The fields in the sterane diagram are from Xu et al. [47].

## 5.2. Paleoproductivity Study

The abundances of P and Ba in sediments have been proposed as proxies of paleoproductivity [42,44,57,58]. P is a structural element in many enzymes, phospholipids, and other biomolecules, and is regarded as a nutrient element that plays a fundamental role in many metabolic processes [59]. However, under anoxic conditions, phosphorus generally diffuses upward from the sediment and returns to the water column, leading to depleted P in sediments. The correlations of P with the TOC contents in the overall samples are shown in Figure 11a. Positive relationships between P and TOC can be observed in the samples collected from Jingjingzigou outcrops (W Bogda; black dots in Figure 11a). However, the enrichment of P did not occur in the organic-rich samples of the H-1 well and Quanzijie outcrops, even though the <sup>13</sup>C-depleted organic carbon isotopic composition indicated vigorous primary productivity (Figure 7; red and orange dots in Figure 11a).



**Figure 11.** Relationship between paleoproductivity proxies and TOC in shales and mudstones collected from P<sub>2</sub>l and P<sub>2</sub>p. P is proportional to TOC in western Bogda Mountain, while there is an inverse correlation between Ba<sub>bio</sub> and TOC. (**a**): Relationship between P and TOC; (**b**): Relationship between Ba<sub>bio</sub> and TOC.

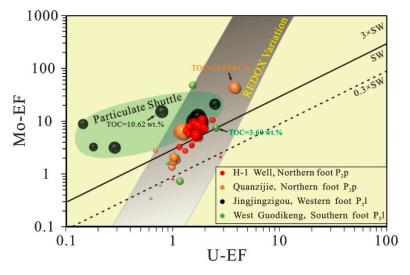
The biogenic barium (Ba<sub>bio</sub>) associated with the sinking flux of organic matter has been considered a geochemical proxy of paleoproductivity [44]. Some phytoplankton and zooplankton assimilate Ba intracellularly, leading to the accumulation of Ba along with organic matter in the sediments [60,61]. However, a significant inverse correlation between Ba<sub>bio</sub> and TOC occurred in the sediments from the H-1 well (N Bogda), as shown in Figure 11b. The reduction in sulfate in an anoxic environment could lead to barite dissolution and Ba migration through the water column. Both P and Ba failed to show a positive correlation with TOC, while <sup>13</sup>C was depleted in organic-rich sediments, potentially indicating that paleoredox also affected the accumulation of these elements in sediments. On the other hand, both P and Ba exhibited positive correlations to TOC in the Jingjingzigou outcrops to different degrees, seeming to indicate that the reduction degree was not intense in the western Bogda area. In summary, the stable carbon isotopic composition suggests that elevated microbial productivity played an important role in organic carbon burial.

# 5.3. Paleoredox Conditions

To identify the paleoredox conditions of the sediments, the ratios of pristane/phytane (Pr/Ph) were used as paleoredox indicators (Figure 6a,b). Both pristane and phytane have been proposed from the side chain of chlorophyll [34,62]. Under reducing conditions, phytol undergoes dehydroxylation and forms phytane. However, oxic conditions may promote the conversion of phytol to pristane through the oxidation of phytol to phytanic acid, followed by decarboxylation. High Pr/Ph ratios (>3) are indicative of oxic deposition, while low ratios (<1) typify anoxic deposition, which is common in hypersaline or carbonate environments [49]. Around the Bogda Mountains, the Pr/Ph of dark shale (TOC < 4 wt. %) in P<sub>2</sub>l ranges from 0.5 to 2.0, indicating mild redox conditions during the sedimentation of organic matter. Additionally, it is difficult to clearly study the ways in which the accumulation of organic matter is affected by redox due to the relatively wide redox threshold of Pr/Ph. The U and Mo covariation patterns derived based on redox thresholds were used as a superior proxy in a paleoredox study performed by Algeo and Liu [27].

In modern unrestricted marine systems, oxic environments show at most minor enrichments in both U and Mo; modest enrichments of U and Mo with  $U_{EF}$  values greater than

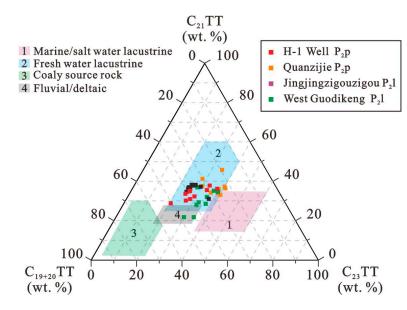
Mo<sub>EF</sub> always occur in suboxic environments (EFs < 10); and anoxic to euxinic conditions record intense enrichments of Mo and U at relatively high EFs > 10; [63,64]. On the other hand, in terrestrial environments, more reducing water conditions should still promote the enrichment of U and Mo in sediments due to the generality of the redox mechanism associated with U and Mo, so we tried to use this pattern in this study [63]. Two distinct processes of organic matter accumulation are shown in Figure 12. The areal sizes of the points represent the TOC contents. A redox controlling sedimentary of organic matter can be observed in samples from the H-1 well, Quanzijie, and West Guodikeng outcrops (N and S Bogda). Organic matter is richer under more reducing conditions. Most of the organic-rich shales and mudstones were deposited in anoxic environments, with Mo<sub>EF</sub> < 10. On the other hand, the strong enrichment of Mo relative to U was shown in black shales from Jingjingzigou (W Bogda), with Mo<sub>EF</sub>  $(3.08 \sim 20.54) >> U_{EF}$   $(0.18 \sim 2.47)$ . This may indicate the efficient transport of aqueous Mo migration to the sediments through the operation of metal-oxyhydroxide particulate shuttles (black dot in Figure 12). As a result, the samples from Jingjingzigou (W Bogda) that are considerably rich in Mo relative to U may indicate a fluctuating depositional system with intermittent episodes of suboxic-anoxic conditions [65]. The paleoredox conditions of different study areas could explain the different relationships between paleoproductivity proxies (P and Ba) and TOC discussed in the previous chapter. Because of the anoxic environment in the northern periphery of the Bogda Mountains, P and Ba do not show a clear positive correlation with TOC, while a covariation can be observed in the western area of the Bogda Mountains with suboxic conditions.



**Figure 12.** General patterns of  $U_{EF}$  and  $Mo_{EF}$  covariation in modern marine environments were used to assess the paleoredox of samples from Lucaogou Formation [63]. The area size of points shows TOC.

## 5.4. Paleosalinity

The compositions of tricyclic terpanes, gammacerane index,  $\beta$ -carotane, Sr/Ba, and S/TOC ratios were used to study the paleosalinity of water. However, different geochemical indicators offer different explanations. Tricyclic terpanes are important biomarkers and ubiquitous in source rock extracts and crude oils [66]. Peters et al., 2005 [34], suggested that C<sub>19</sub> and C<sub>20</sub> tricyclic terpanes (C<sub>19</sub> and C<sub>20</sub>TT) are relatively rich in terrigenous oils, while C<sub>23</sub> tricyclic terpane (C<sub>23</sub>TT) indicates a marine source. Here, a ternary plot of (C<sub>19</sub>+C<sub>20</sub>)TT, C<sub>21</sub>TT, and C<sub>23</sub>TT was established to distinguish the depositional environments of source rocks [67]. Marine/saline lacustrine, freshwater, fluvial/deltaic, and mire environments can be readily divided (see Figure 13). Most of the samples analyzed in this study fell within the freshwater zone. However, the results would be problematic due to the lack

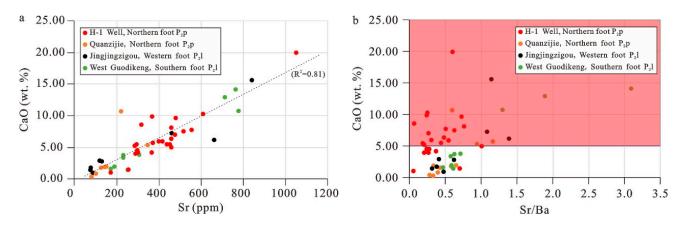


of marine sources in this terrestrial basin. Instead, the ternary diagram is probably more reflective of the source of organic matter and thus may indicate a terrigenous source.

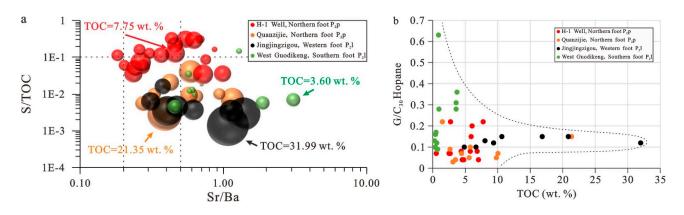
**Figure 13.** Ternary diagram showing the relative abundance of  $C_{19+20}TT$ ,  $C_{21}TT$ , and  $C_{23}TT$ . Based on early published: Zone1 is Marine/salt water lacustrine; Zone2 is Fresh water lacustrine; Zone3 is Coaly source rock; and Zone4 is Fluvial/deltaic [67]; TT = Tricyclic terpane.

The viabilities of Sr/Ba and S/TOC as elemental proxies for paleosalinity reconstruction have been studied by Wei and Algeo [29]. The solubility of BaSO<sub>4</sub> was much less than that of SrSO<sub>4</sub>, leading to a positive correlation between Sr/Ba and paleosalinity [29,68,69]. The salinity thresholds of Sr/Ba are <0.2 in freshwater, 0.2-0.5 in brackish water, and >0.5 in marine facies. However, a potential issue in using the bulk Sr/Ba value as a paleosalinity proxy of sediments is the alteration of the clay fraction signal by carbonate-hosted Sr. To screen out the influence of carbonate-hosted Sr, the contents of Sr versus CaO were studied as a carbonate proxy, as shown in Figure 14a. A significant positive relationship between Sr and CaO indicates that the influence of carbonate-hosted Sr cannot be ignored when using the bulk Sr/Ba values of the samples in this study. A CaO threshold of 5 wt. % was used to eliminate the samples that probably contained carbonate-hosted Sr [29]. As a result, the Sr/Ba ratios of the H-1 well, Quanzijie, and Jingjingzigou outcrops were mainly of 0.2–0.5 (N and W Bogda), indicating a brackish environment, and those of West Guodikeng in southern Bogda showed a saline lacustrine environment.

S/TOC is another feasible proxy for paleosalinity [29,30]. Aqueous S migrates into sediments and is mainly in the form of sulfide and organic S, driven by microbial sulfate reduction (MSR). Sulfate concentrations vary strongly between freshwater and brine, leading to S/TOC as a salinity proxy effectively discriminating between freshwater and brackish/marine facies [29,30]. The salinity thresholds for S/TOC are <0.1 in freshwater and >0.1 in brackish and marine facies. The relationship of S/TOC and Sr/Ba can be observed in Figure 15a, with the areal sizes of the points representing the TOC contents. As described above, the valid Sr/Ba ratios of the H-1 well, Quanzijie, and Jingjingzigou outcrops ranged from 0.2 to 0.5. For the H-1 well (red point), the positive covariation of Sr/Ba and S/TOC values observed in other outcrops may result from the maturation by burial diagenesis leading to a net loss of sulfur [70,71]; this process is also supported by the compositions of *i*-alkanes and *n*-alkanes shown in Figure 6b.



**Figure 14.** Sr/Ba ratios for the study of paleo-salinity. (**a**) Sr versus CaO, CaO used as a proxy of carbonate content; (**b**) Application of a CaO cutoff of 5% as the threshold of valid Sr/Ba.



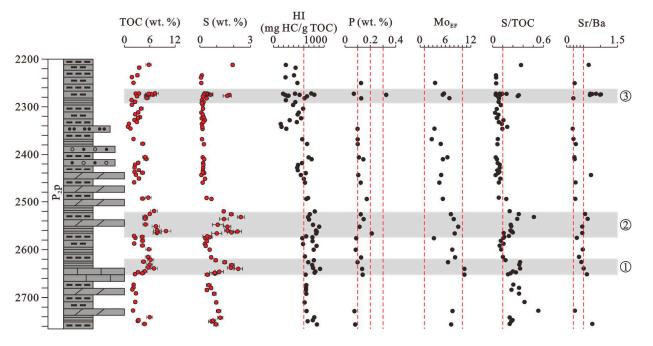
**Figure 15.** Geochemical proxies showing the paleo-salinity by use of S/TOC, Sr/Ba and G/C<sub>30</sub>Hopane. The area size of points shows TOC. (a): Relationship between S/TOC and Sr/Ba; (b): Relationship between G/C<sub>30</sub>Hopane and TOC.

The relative concentration of gammacerane was used to indicate paleosalinity, with the ratio of gammacerane/ $C_{30}$  hopane (G/ $C_{30}$ Hopane) widely applied [34,53,72]. However, due to the lack of research on the threshold values, the use of G/ $C_{30}$ Hopane is often empirical. The relationship of G/ $C_{30}$ Hopane and TOC is shown in Figure 15b with the data in Table 1. High levels of gammacerane can be detected in the samples from the West Guodikeng outcrop, which is consistent with the Sr/Ba data indicating a saline lacustrine environment. On the northern and western part of the aBogda Mountain, the values of G/ $C_{30}$ Hopane in organic rich samples range from 0.1 to 0.2. Although there is a lack of a threshold reported in the previous reference, the relatively low levels of G/ $C_{30}$ Hopane compared to the West Guodikeng outcrop may indicate a brackish water, which echoes the results of Sr/Ba. In the study of paleosalinity, it is necessary to verify multiple proxies to avoid any misunderstanding caused by the low robustness of the single indicators.

# 5.5. Accumulation of Organic Matter in Different Study Areas

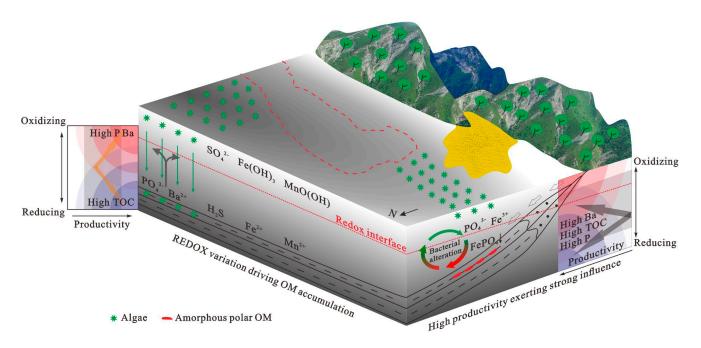
According to the previous discussion, the source of organic matter and paleoredox conditions differed between the H-1 well (N Bogda) and Jingjingzigou outcrop (W Bogda), during middle Permian sedimentation. The geochemical profile of well H-1 is shown in Figure 16. Three organic-rich sedimentary layers can be observed with TOC contents higher than 5 wt. %. Based on Figure 12, the accumulation of organic matter was mainly controlled by the variation in redox conditions. Hydrogen-rich organic matter with high HI values formed in member 1 and member 2 potentially due to better preservation. P and Ba showed an inverse correlation with TOC, indicating an anoxic sedimentary environment, where

the reduction in  $SO_4^{2-}$  and hydroxides of Fe<sup>3+</sup> and Mn<sup>3+</sup> to S<sup>2-</sup>, Fe<sup>2+</sup>, and Mn<sup>2+</sup> led to the release of P and Ba from the sediments back into the water. In the organic-rich member, the values of both S/TOC and Sr/Ba showed clear, positive relationships to TOC, indicating that an increase in the salinity played a positive role during the accumulation process of organic matter. The relatively hydrostatic, brackish, and stratified anoxic conditions can be outlined in Figure 17. The surface productivity with some original structures could be well preserved during the sinking process, in this less-turbulent water column with stratification.

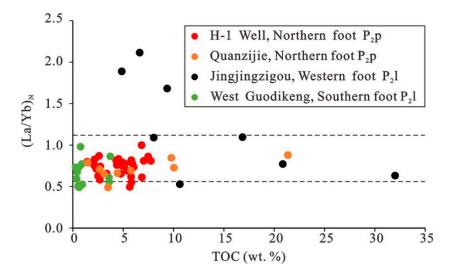


**Figure 16.** Vertical variation of TOC, S, and geochemical proxies (P, Mo<sub>EF</sub>, S/TOC, Sr/Ba) in Pingdiquan Formation of H-1 Well. The grey shaded zone represents the strata where organic-rich shales are present.

On the western part of the Bogda Mountains, the formation of organic-rich shales followed another mechanism (Figure 17). The enrichment of Mo relative to U in sediments triggered by the Mn-Fe-oxyhydroxide shuttle indicates a suboxic conditions with frequent exchanges of the top and bottom water. The low abundance of gammacerane showed a non-stratification of the water column. This suboxic condition causes it to be difficult to preserve the organic matter well, causing the original structures to be hard to observe in the maceral composition. The relative enrichment of hopanes indicates a vigorous bacterial reaction during the sedimentary of organic matter, followed by the formation of amorphous organic matter rich in polar macromolecules. The accumulation of organic matter in this study area is rigorously driven by strong productivity triggered by nutrient supplementation, as manifested by the enrichment of P. On the other hand, the sedimentation rate played a positive role in the accumulation of organic matter. A long residence time in the water column could result in a large fractionation of light and heavy rare earth elements in sediments [73]. It is noteworthy that an inverse correlation between the  $(La/Yb)_N$  ratio and TOC can be observed in the sediments of the western Bogda Mountains, potentially indicating that the rapid sedimentation facilitated the preservation of organic matter in these sediments (black dots in Figure 18). Ding and Liu [74] proposed that the sedimentation rate is not linearly related to TOC. The rapid deposition in favor of organic matter accumulation rather than dilution occurs mainly in suboxic environments. In addition, the rapid deposition leads to a mix of aquatic organisms and higher plants being preserved in sediments, leaving structural vitrinite to be observed in the maceral compositions.



**Figure 17.** Scheme for the enrichment of organic matter in middle Permian around the Bogda Mountain. Organic-rich shales and mudstones in northern Bogda Mountain were deposited in a hydrostatic, brackish, anoxic condition; that of western Bogda Mountain were in suboxic, non-stratified, rapidly depositing sedimentary environment.



**Figure 18.** Relationship between the differentiation of REEs and TOC. An inverse correlation between the  $(La/Yb)_N$  ratio and TOC has been observed in samples from Jingjingzigou outcrops, western periphery of Bogda Mountain.

## 6. Conclusions

Organic-rich shales and mudstones are developed in the middle Permian strata of northern and western Bogda Mountains. A relatively hydrostatic, brackish, stratified, anoxic environment is proposed in the northern periphery of the Bogda Mountains. The enrichment of organic matter in the sediments was derived from the good preservation of productivity during the sinking process in the unperturbed water column. The typical features of this preservation model include: (1) the preservation of the original structure of alginite; (2) non-correlations or negative correlations between TOC and elemental proxies (P and Ba); and (3) the presence of brackish or saline water with a stratified water column. The west side of the Bogda Mountains characterized a suboxic environment, with frequent water exchanges between the top and bottom water columns. The accumulation of organic matter was mainly driven by high productivity triggered by supplementation with nutrient elements. Due to the suboxic conditions, the characteristics of the productivity model were: (1) the enrichment of Mo relative to U; (2) a clear positive correlation between TOC and elemental proxies (P and Ba); (3) rapidly deposited inorganic detritus playing a positive role in the accumulation of organic matter rather than dilution; (4) amorphous organic matter (mineral-bitumen groundmasses) being abundant in the maceral compositions; and (5) polar macromolecules being relatively enriched in the soluble organic matter of sediments. Different models of organic matter. An improved understanding of the enrichment of organic matter would contribute to improve research involving petroleum exploration and development.

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