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## Quantifying the Health Risks of PM<sub>2.5</sub>-Bound Heavy Metals for Rural Populations with Different Energy Use Types During the Heating Season

 $\label{eq:WenjuWang} Wang^1 \cdot Mingshi Wang^1 \cdot Xuechun Zhang^1 \cdot Qiao Han^{2,3} \cdot Chun Chen^{4,5} \cdot Dan Liu^{4,5} \cdot Qinqing Xiong^1 \cdot Chunhui Zhang^1$ 

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#### Abstract

Premature deaths in China due to exposure to  $PM_{25}$ -bound heavy metals (HMs) are notably more prevalent in rural areas than in urban ones. In suburban rural areas, electricity and natural gas have emerged as the primary energy sources. However, in remote rural locations far from urban centers, coal and biomass are still commonly used for cooking and heating. This disparity in energy use can lead to variations in health risks among populations and may cause significant discrepancies between implemented policies and actual conditions. Winter PM2 5 samples were collected from rural sites across the North China Plain. To identify the effects of air exposure on rural populations with different types of energy use, we employed probabilistic and source-specific risk assessment methods. Results showed that the average PM<sub>2.5</sub> mass was 10.08 and 10.91 times higher than the World Health Organization's recommended guideline (15  $\mu$ g/m<sup>3</sup>). This indicates a higher contamination burden in suburban rural areas. Children were found to be at higher risk of noncarcinogenic risks (NCR) but at a lower risk of carcinogenic risks (CR) compared to adults. Interestingly, the NCR and CR of HMs from coal and biomass combustion in remote rural areas were 2.68 and 2.47 times higher, respectively, than those in suburban rural areas. The widespread use of electricity and natural gas in suburban areas has decreased the health burden of HMs on residents when compared to the use of coal and biomass. Coal and biomass combustion was identified as the primary source of health risks in remote rural areas. In suburban rural areas, it is essential to reduce coal and biomass combustion, vehicle emissions, and industrial emissions. Our results provide valuable scientific insights for the prevention of air pollution throughout the rural energy transition process, not only in China but also in developing countries worldwide.

Keywords Air pollution · Rural energy use · Probabilistic health risk · Source-specific health risk

Mingya Wang wangmy@hpu.edu.cn

> Wenju Wang 719697076@qq.com

- <sup>1</sup> College of Resource and Environment, Henan Polytechnic University, Jiaozuo 454003, China
- <sup>2</sup> Institute of Geochemistry, Chinese Academy of Sciences, Guiyang 550081, China
- <sup>3</sup> University of Chinese Academy of Sciences, Beijing 100049, China
- <sup>4</sup> Henan Ecological Environment Monitoring and Safety Center, Zhengzhou 450046, China
- <sup>5</sup> Henan Key Laboratory for Environmental Monitoring Technology, Zhengzhou 450004, China

### Introduction

Exposure to hazy weather pose a significant public health issue for global populations. This is due to the associated health hazards, reduced visibility, and slow dispersion time (Chen et al. 2021; Quan et al. 2014). Although they constitute approximately only 10% of PM<sub>2.5</sub> mass, particle-bound heavy metals (HMs) pose a significant threat. They are carcinogenic, non-degradable, and highly enriched (Lai et al. 2015; Xie et al. 2019). Pb (lead) exposure, in particular, can severely impact the development of children's cognitive abilities (Liu et al. 2018a). Other metals, such as Ni (nickel), Cr (chromium), Mn (manganese), and Co (cobalt), can infiltrate the human body and cause DNA damage, leading to impaired respiratory function (Chen et al. 2021; Feng et al. 2022a). HMs present in respirable particulate matter represent key pollutants threatening human health (Hus et al. 2021; Wang et al. 2019b, 2022).

The quantification of health risks to populations exposed to air pollution, which is the foundation for research to assess the local public health burden accurately, is already underway in many cities and regions (Faraji Ghasemi et al. 2020; Peng et al. 2017). Currently, health risk studies of PM<sub>2 5</sub>-bound HMs depend excessively on point estimates with deterministic parameters; meanwhile, the variability of exposure factors between individuals, such as body weight and duration of exposure, are ignored; this approach may severely underestimate or overestimate risk values (Huang et al. 2021). However, probabilistic risk assessment based on Monte Carlo simulation (MCS) ensures the accuracy of risk calculations by integrating the proportion of risk thresholds that are exceeded and those that are not, and its reliability was confirmed in the previous studies (Widziewicz and Loska 2016). The toxicity of atmospheric HMs emitted by different sources varies (Bell et al. 2014). Source apportionment models are a proven technique for accurately identifying the sources of environmental pollutants and have been widely used in source analysis studies of atmospheric, water, and soil pollutants (Ma et al. 2018; Xie et al. 2020; Hus et al. 2021). Source-specific risk assessment based on source apportionment models has received increasing attention recently (Liu et al. 2018a; Yan et al. 2022). A reasonable assessment of the health risk caused by PM<sub>25</sub>-bound HMs from multiple perspectives is still an open topic (Men et al. 2021; Heidari et al. 2021). In the present study, health risk assessment based on probabilistic and source-specific risks was explored to provide more reliable evidence for quantifying regional population health burden and controlling pollution sources.

PM<sub>25</sub>-bound HMs enter the body through various pathways that increase the incidence of cardiovascular and respiratory disease and even cause premature death by inducing oxidative stress and systemic inflammation (Guo et al. 2021; Jimenez et al. 2009). The all-cause mortality rate (deaths from all causes as a proportion of the total population) among Chinese residents was about seven per 1000 in 2018; deaths due to cardiovascular and respiratory systems accounted for 57.9% of the all-cause deaths in rural areas, compared with 54.6% in urban areas (National Bureau of Statistics of China, http://www.stats.gov.cn/; China National Center for Cardiovascular Disease, https://www.nccd.org. cn/). The health of rural residents may be more sensitive to air pollution than that of urban populations. Previous studies have shown that agricultural activities and using biomass energy and coal in rural areas lead to more haze events (Du et al. 2018; Hu et al. 2019). Although rural China is promoting energy transition, it is still quite limited in many rural areas (Liu and Ren 2020; Zhao et al. 2020). Outdoor exposure of the population is exacerbated by the poor planning

of rural buildings and the occupational characteristics of farmers who regularly engage in outdoor activities (Liu and Ren 2020; Liu et al. 2016). A report contended that rural residents are more sensitive to air pollution than urban residents by using a distributed lag nonlinear model, and  $PM_{2.5}$  is an essential factor that causes premature mortality in rural areas (Zhao et al. 2021). The public health burden on Chinese rural residents has long been neglected. Approximately 40% of the Chinese population faces serious health threats from air pollution while not receiving widespread social attention.

Suburban rural areas located on the outskirts of cities are gradually being classified within the scope of centralized urban heating because of the advancement of China's urban infrastructure; the ban on coal and biomass use is more stringent than that in remote rural areas, and electricity and natural gas become the only forms of energy utilization in suburban rural areas (Wang et al. 2021a). A survey in Tangshan, China, has demonstrated significant differences in the characteristics and sources of outdoor PM25 in coal-fired and centrally heated areas (Wang et al. 2021a), and different types of energy utilization may cause variations in population health risks in rural areas (Feng et al. 2021). However, the characteristics of air pollution in rural areas are not fully recognized due to the difficulty in obtaining information on haze pollution and the decentralized distribution characteristics. Studies on the health risks of rural populations under PM<sub>2.5</sub> exposure are scarce, and research on different rural types has never been conducted. To fill this gap, health risks from PM<sub>25</sub>-bound HMs to rural populations of different energy use types were investigated based on probabilistic and source-specific risk assessment models. This work may contribute to a comprehensive understanding of the effect of air pollution on the target population's public health burden. Now insights into air pollution control in the rural energy transition process in developing countries around the world are also provided.

#### **Materials and Methods**

#### **Sampling Sites**

The North China Plain (NCP), which is the second largest plain in China, is located at latitude 32°–40° North and longitude 114°–121° East and has a temperate monsoon climate. NCP is an area in China with a high population, rural agglomeration, and well-developed agriculture; it is one of the most polluted areas in China in terms of haze (Jin et al. 2020; Wang et al. 2015). Two rural sites located in the western portion of the NCP, Qizhuang Village (QZV; 36° 11′ 15″ N, 114° 23′ 51″) and Liuji Village (LSV; 36° 11′ 15″ N, 114° 22′ 41″), Anyang were considered in this study, as shown in Fig. 1. Anyang is a typical heavy industrial city, and its



Fig. 1 Location of sampling sites

leading industrial products are steel product, steel, pig iron, and coke. PM25 filter samples were simultaneously collected at two rural sites during the heating season from November 24 to December 3, 2020. An atmospheric sampler was set up on the roof of a specific residential building (about 5 m above the ground) at each rural site. QZV, which is far from the urban center and surrounded by much farmland, is a remote rural site. After our actual investigation, industrial agglomeration was observed about 4 km to the west and east of QZV. The Beijing-Hong Kong-Macao Expressway was about 1.6 km east of the sampling site. The nearby factories and roads might contribute to air pollution. During the sampling period, residential chimneys frequently produced smoke from coal combustion because the sampling site was outside the centralized city heating range. This situation is a widespread phenomenon in remote rural areas of China far from urban centers. Although the former bulk coal was primarily replaced by clean coal at the government's request, coal combustion emissions still require attention. QZV has similar contamination sources to its surrounding villages and reflects the air pollution characterization in remote rural areas in China. According to the Chinese urbanization process, factories and enterprises in the former urban areas have been relocated to the suburbs (Liu 2013). LSV is a suburban rural site with many factories, including steel smelting, thermal power generation and mechanical engineering, within 1 km of the site, and large steel smelters located approximately 6 km to the west and 9 km to the northwest. In addition, the urban roads around LSV were denser than those around QZV. The local government department vigorously promoted the measure of "zero loose coal and natural gas coverage in villages" during the sampling period. LSV is

located in a centralized heating zone of the city, where the use of coal and biomass for heating in winter has completely disappeared. The air pollution characteristics of LSV are representative of the rural suburbs of China.

#### PM<sub>2.5</sub> Sampling and HM Analysis

The 12 h continuous  $PM_{2.5}$  samples (from 9:00 to 21:00) were collected on Teflon filters using an atmospheric sampler of medium flow rate (KDB-120B; at a flow rate of 0.96±0.04 L/min). Blank filters were also collected to eliminate the effect of atmospheric background values of crustal elements, such as Ca, Fe, and Al (Feng et al. 2022b; Xu et al. 2016). The volume of air passing through the filter for each sample was recorded at the end of sampling, and all filter samples were equilibrated in a clean room at a constant temperature and humidity ( $22\pm2$  °C,  $40\pm5\%$ ) for 48 h. The filters were weighed with an electronic microbalance before/after sampling (MS105DU, Mettler-Toledo, Switzerland). A total of 38 filter samples were stored and sealed at -4 °C until chemical analysis.

Quarter filter samples were chopped with ceramic scissors and placed in Teflon digestion tubes with 4 mL 60% nitric acid, 2 mL 40% hydrofluoric acid, and 0.5 mL 30% hydrogen peroxide. The metal elements were extracted in a microwave digestion system (Multiwave PRO, Anton Paar, Austria) under a specific temperature program. The digestion solution was evaporated to 1-2 mL on an electric hot plate heated to 120 °C for about 5 h. The extract was diluted to 20 mL by adding 5% HNO<sub>3</sub> after cooling, and the solution was passed through pinhole filters of 0.45 µm. Nine HMs (Co, Ni, Mn, Pb, Cr, Zn, As, V, and Cu) and six conventional

metal elements (K, Mg, Ca, Na, Al, and Fe) were analyzed with an inductively coupled plasma optical emission spectrometer (PerkinElmer Optima 8000, USA).

#### **Quality Control in Analysis**

The standard reference material (GBW-07401, National Research Centre for Certified Reference Materials, China) and blank filters were analyzed in the same way as the samples to achieve quality assurance and control. The analytical results for all samples were subtracted from the blank control to reduce the error caused by the background values. Average repeatability of less than 6% was obtained for 15 metal elements with recoveries that ranged from 89.5 to 109.2% (Table S1). The detection limits of K, Mg, Ca, Na, Al, Fe, Co, Ni, Mn, Pb, Cr, Zn, As, V, and Cu were 1.00, 0.04, 0.05, 0.5, 1.00, 0.10, 0.20, 0.50, 0.10, 1.00, 0.20, 0.20, 1.00, and 0.50 µg/L, respectively. If the element concentration is below the detection limit, then half the detection limit is used instead.

#### **Evaluation of PM<sub>2.5</sub>-Bound HM Contamination**

The pollution risk of  $PM_{2.5}$ -bound HMs was quantified by using the ecological risk index (ERI) proposed by Swedish geochemist Hakanson in 1980 (Hakanson 1980). The method is frequently adapted to HM pollution assessment and ecological risk evaluation in atmospheric particulate matter (Zhang et al. 2021). The ERI was calculated as follows:

$$ERI = \sum_{i}^{m} E_{r}^{i} = \sum_{i}^{m} T_{r}^{i} \times C_{f}^{i} = \sum_{i}^{m} T_{r}^{i} \times \frac{C_{sample}^{i}}{C_{crust}^{i}},$$
(1)

where  $E_r^i$  is the potential ecological risk coefficient of the  $i_{th}$  HM,  $T_r^i$  is the biotoxicity response coefficient of the  $i_{th}$  metal,  $C_f^i$  is the contamination factor,  $C_{sample}^i$  is the content of the  $i_{th}$  metal in the sample, and  $C_{crust}^i$  is the soil background value of the  $i_{th}$  HM. In this study, the  $E_r^i$  values of nine HMs were estimated. The biotoxicity response factors of Co, Ni, Mn, Pb, Cr, Zn, As, V, and Cu were chosen as 5, 5, 1, 5, 2, 1, 10, 2, and 5, respectively, according to the previous studies (Zhang et al. 2021; Zhi et al. 2021; Liu et al. 2018b). The ERI ranking of HMs in PM<sub>2.5</sub> is shown in Table S1.

#### **Source Identification Method**

Positive matrix factorization (PMF), which was proposed by Paatero and Tapper (1994), is a useful tool for determining the source and contribution of  $PM_{2.5}$ -bound HMs. PMF does not require measurements of the source component spectrum and has nonnegative species contribution in the decomposition matrix compared with other source apportionment models (Paatero and Tapper 1994; Hassan et al. 2021). The principle of the PMF model can be obtained from previous studies (Xie et al. 2020; Amato and Hopke 2012).

In this study, the concentrations of 15 metal elements (K, Mg, Ca, Na, Al, Fe, Co, Ni, Mn, Pb, Cr, Zn, As, V, and Cu) and  $PM_{2.5}$  were inputted into the model to calculate the composition spectrum and contribution of each factor. The optimal solution of the model was tested between two and seven factors according to the  $Q_{robust}/Q_{ture}$  value. The result showed that the model was most reliable when the number of factors was four.

The uncertainty test of the PMF model is essential due to the limitation of the sample size, data variability, model structure instability, and parameter sensitivity. Displacement (DISP) and BootStrap (BS) methods recommended by Paatero et al. (2014) were applied to obtain error estimates for source assignment. Additional details of these approaches to uncertainty analysis were presented in previous studies (Men et al. 2019; Paatero et al. 2014).

#### **Probabilistic Health Risk Assessment**

The probabilistic health risk assessment is predicated on the health risk assessment model provided by the US EPA (2011), with thousands of samples obtained using MCS and the results presented in a statistical manner (Xie et al. 2017). Airborne HMs pose health risks to humans in three ways, namely, direct inhalation by the respiratory system, ingestion of food to which HMs are attached, and dermal absorption of HMs deposited on the skin surface (Han et al. 2021a; Wang et al. 2019a; Zhang et al. 2018). The average daily exposure (ADD) for the three pathways was calculated by using the following equation:

$$ADD_{inhalation} = \frac{C \times InhR \times EF \times ED}{PEF \times BW \times AT},$$
(2)

$$ADD_{\text{ingestion}} = \frac{C \times EF \times IngR \times ED}{BW \times AT} \times CF,$$
(3)

$$ADD_{dermal} = \frac{C \times SA \times SL \times ABS \times EF \times ED}{BW \times AT} \times CF, \quad (4)$$

where *C* is the measured concentration of HM (mg kg<sup>-1</sup>). The detailed interpretations and reference values for other parameters are presented in Table S2.

Noncarcinogenic risk (NCR) and carcinogenic risk (CR) characterization is the final step in quantifying exposure to  $PM_{2.5}$ -bound HMs. The NCR of a single metal is expressed as a hazard quotient (HQ). The hazard index (HI), which is the sum of the HQ of all HMs, is used to assess the comprehensive NCR of exposure to multiple pollutants, as shown in the following formula:

$$HQ = \frac{ADD}{RfD},$$
(5)

$$HI = \sum_{i=1}^{k} HQ_i = HQ_{inhalation} + HQ_{ingestion} + HQ_{dermal}, \quad (6)$$

where *RfD* is the reference toxicity threshold of HMs in diffident pathways. If HQ or HI  $\geq$  1, then the exposed population is at risk of severe NCR (Zhang et al. 2018), and the corresponding level of risk substantially increases with the improvement in HQ and HI.

The CR is the potential risk value of developing various cancers in humans by different exposure routes, and the total CR (TCR) is the sum of the CR of all PM<sub>2.5</sub>-bound HMs. Both factors are calculated as follows:

$$CR = ADD \times SF,$$
 (7)

$$TCR = \sum_{i=1}^{k} ADD_i \times SF_i,$$
(8)

where *SF* is the cancer slope factor of each HM under three exposure pathways. CR or TCR less than  $10^{-6}$  is considered safe, while values greater than  $10^{-4}$  indicate an unacceptable CR (Behrooz et al. 2021).

According to the International Agency for Research on Cancer (IARC 2016), Cr (VI), Ni, Pb, Co, and As were confirmed as carcinogenic HMs. Mn, Zn, V, and Cu were used only to calculate the NCR of the exposed population. The concentration of Cr (VI) was calculated using 1/7 of the Cr concentrations in this study (Massey et al. 2013).

The MCS was performed by loading Oracle Crystal Ball v11.1.24 (Oracle, USA) in Microsoft Excel. The various parameters in the traditional health risk assessment were separately imported according to the type of statistical distribution to which they belong (Table S4). The distribution type for each metal was fitted with a time series of measured concentrations using Crystal Ball software. In the end, the health risks of different populations were outputted after 10,000 MCSs.

#### **Results and Discussion**

#### **Overview of the PM<sub>2.5</sub> Mass**

The temporal variation of the  $PM_{2.5}$  average concentrations at two rural sites from November 24 to December 3, 2020 is presented in Fig. 2. During the heating season, the  $PM_{2.5}$  masses in the QZV and LSV were 39.90–266.21, and  $85.25-282.43 \ \mu g m^{-3}$ , respectively, with mean values of  $151.19 \pm 64.61$  and  $163.72 \pm 61.01 \ \mu g m^{-3}$ , respectively. The mean concentrations of  $PM_{2.5}$  at both sites were much higher than those in some urban centers in China, such as Luoyang (91.1  $\mu g m^{-3}$ , 2019.10–2020.01) (Xu et al. 2022), Nanjing (113  $\mu g m^{-3}$ , 2014.01–02) (Kong et al. 2015), and Shanghai (65.0  $\mu g m^{-3}$ , 2015.11–12) (Huang et al. 2018). The degree of fine particulate matter contamination in rural areas, which receive less concern, far exceeds that in some urban areas in China. In Fig. 2, all  $PM_{2.5}$  filter samples





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exceeded the 24 h PM<sub>2.5</sub> mass guideline recommended by the WHO (15  $\mu$ g m<sup>-3</sup>). Three samples from QZV blew the national ambient air quality standards by the Ministry of Ecology and Environment of the People's Republic of China (GB3095-2012) (daily average grade II, 75  $\mu$ g m<sup>-3</sup>) out of a total of 38 samples collected from two rural sites. The burden of air pollution was higher in suburban rural than in remote rural areas, which may be related to the proximity to urban centers and the presence of more potential pollution sources. LSV was surrounded by several factories, dense suburban roads, and the Chinese national road G107, and the high PM<sub>2.5</sub> levels might be associated with factory exhaust and vehicle emissions. Coal and biomass feedstock burning is the main form of winter heating in remote rural areas of northern China, while combustion for heating might be the leading cause of severe local haze events in QZV.

Multiple significant haze events were observed at both sampling sites in this study. In addition to the influence of anthropogenic activities such as coal combustion and industrial production, meteorological conditions were considered critical drivers for the rapid growth of PM2.5. Hourly data of meteorological factors, including wind speed (WS), wind direction (WD), temperature (T), and relative humidity (RH), and gaseous pollutants such as SO<sub>2</sub>, NO<sub>2</sub>, CO, and O<sub>3</sub> were provided by Anyang Ecology and Environment Bureau. The average RH was 80.50% during the sampling period, and it reached 93% when the atmospheric visibility significantly decreased. The high RH provides appropriate requirements for the heterogeneous reaction of aerosols, which causes the production of numerous secondary pollutants such as sulfates. The mean WS during the sampling period was 0.64 m/s, and the frequent stationary weather sharply diminished the diffusion of the fine particulate

matter. In the haze episode from November 29th 22:00 to December 1st at 10:00, the average RH and WS were 86.26% and 0.21 m/s, when the highest  $PM_{2.5}$  mass concentrations peaked at 266.21 and 282.43 µg m<sup>-3</sup>, respectively. Adverse meteorological conditions also played a dominant role in  $PM_{2.5}$  accumulation. Severe haze occurred in LSV from 22:00 on November 25th to 10:00 on November 26th. The average WS at this time was 0.86 m/s, which was markedly higher than the average level. The proximity transport of pollutants emitted from nighttime production emissions in the surrounding factories could be a key contributor to the severe haze in LSV. By contrast, the decrease in  $PM_{2.5}$  mass concentration was related to the reduction in pollutants from the surrounding factories and favorable atmospheric dispersion conditions.

#### **Mass and Pollution Assessment of Metal Elements**

The descriptive statistics of the 15 metal elements at two sites are shown in Table 1, and the average mass follows the sequence K > Ca > Fe > Al > Na > Mg > Zn > Pb > As > Co >Mn > Ni > Cr > Cu > V in QZV while Ca > K > Fe > Al > Na> Mg > Zn > Pb > Mn > As > Ni > Cu > Cr > V > Co in LSV during the heating season. Similar characteristics of HM contamination were observed in two rural sites. The mean Co, Pb, and As concentrations of QZV were significantly higher than those of LSV. Co, Pb, and As are released when fossil energy sources such as coal and oil are burned (Liu et al. 2019). The contribution of coal combustion emissions to atmospheric  $PM_{2.5}$  around QZV was likely greater than that of LSV, which confirms that coal is still the primary energy in remote rural areas of China. Although several measures replacing coal with natural gas and electricity have

Special	QZV				LSV			
	Mean	Median	SD	CV%	Mean	Median	SD	CV%
К	1583.99	1359.89	818.11	51.65	1259.56	1206.04	435.43	34.57
Mg	218.15	202.87	115.45	52.92	185.71	183.25	112.27	60.45
Ca	1419.27	1264.52	789.28	55.61	1423.96	1515.43	1066.11	74.87
Na	830.33	777.00	368.66	44.40	657.04	546.10	413.37	62.91
Al	836.99	604.81	636.22	76.06	951.19	797.06	744.50	78.27
Fe	865.08	821.88	411.58	47.58	957.09	797.23	451.21	47.14
Co	49.24	37.16	30.40	61.75	11.97	11.44	5.42	45.30
Ni	22.06	16.95	17.70	80.23	26.29	26.24	12.43	47.30
Mn	41.35	40.14	15.92	38.49	50.34	47.13	17.56	34.89
Pb	97.34	86.33	41.74	50.89	52.28	58.03	16.70	27.74
Cr	18.92	16.96	9.70	51.28	18.41	15.80	6.54	35.53
Zn	163.26	162.94	49.57	30.36	232.97	219.24	87.42	37.52
As	58.63	46.77	31.78	54.21	39.85	38.62	14.08	35.64
V	10.24	7.79	6.92	67.53	14.75	13.71	4.53	30.72
Cu	12.53	12.18	5.08	40.58	23.56	23.19	7.62	32.33

Table 1 Summary statistics of metal element concentrations in  $PM_{2.5}$  (ng m<sup>-3</sup>)

been implemented in many parts of China, the implementation in remote rural areas has been far less intensive than in suburban rural areas closer to urban centers.

The  $E_r^i$  values of the six HMs were calculated, as presented in Fig. 3 (unit converted from  $\mu g m^{-3}$  to mg kg<sup>-1</sup>). The ecological risk caused by atmospheric PM2 5-bound HMs was markedly stronger in QZV than in LSV despite the lower average daily PM<sub>2.5</sub> mass, and this result is related to the high-enrichment level of HMs in QZV. With regard to the mean value of all samples, the  $E_r^i$  values for Ni, Mn, Cr, Zn, and V were all below 40, which indicates that the five HMs pose a slight risk to the environment. The ecological risk of Co reached a heavy risk level in QZV, but it was negligible in LSV, which is inseparable from the high Co concentration in QZV. The remaining HMs, including Pb, As, and Cu, severely threatened the ecological environment. The ERI of As was much higher than that of the eight other toxic HMs at both sites. Notably, the highest average  $E_r^i$  value in QZV reached an extremely heavy risk level. Although the suburban rural area had a higher PM2.5 pollution burden, it was typically less environmentally risky than remote rural. The rural air environment of the NCP showed significant HM contamination and potential ecological risks, which should be addressed, especially As, Pb, Cu, and Co.

#### **Source Distribution of Metal Elements**

Based on the PMF model (Fig. 4), four sources of  $PM_{2.5}$  were identified for each sampling site, namely, coal and biomass combustion, industrial emissions, dust sources, and vehicle emissions.

In the OZV site, factor 1 has high Zn, Cu, Mn, and Pb emissions. Zn, Cu, Mn, and Pb can be released using motor vehicle lubricants and the wear of tires and brake pads (Kong et al. 2020; Han et al. 2020). Accordingly, factor 1 can be identified as vehicle emissions, and it accounted for 17.25%. By the end of 2020, the number of motor vehicles in Anyang has reached 1,042,600 (AMBS 2021). Increasing motor vehicle ownership means that air pollution from vehicle emissions is not negligible, even in rural areas. Factor 2 was weighted by Ni, Cr, V, and Cu. In 2020, the output of steel products and steel in Anyang reached 18,106,100 and 16,441,900 tons, respectively (AMBS 2021). Metals, such as Ni, Cr, V, and Mn, are frequently added during the steelmaking process to achieve the best performance from the steel product (Liu et al. 2018a). Factor 2 can be considered an industrial emission source, and it accounted for 19.37%. Factor 3 has high Co, K, Pb, and As emissions and was treated as a coal and biomass combustion source, and it accounted for 36.51% (Fig. S1). Previous studies showed that Co and Pb were emitted during the combustion of fossil fuels such as coal (Simoneit et al. 1999). As is mainly from coal combustion (Chen et al. 2021). The field investigation was conducted during the heating season when coal burning for heating is a common occurrence. K is the signature species of biomass burning (Simoneit et al. 1999; Begum et al. 2004). The burning of agricultural waste still exists in rural northern China, despite that numerous bans on the burning of biomass materials such as straw were implemented. Factor 4 showed high loadings of Mg, Ca, Na, Al, and Fe, which can be designated as dust sources. This factor accounted for 26.87%. Al, Fe, Ca, and Na are crustal elements because



**Fig.3** Description of  $E_r^i$  in PM<sub>2.5</sub>-bound HMs. (a QZV and b LSV, the black circle represents the mean value of  $E_r^i$  for heavy metals, and the black dashed line is the position of the mean value on the right coordinate axis)



Fig. 4 PM<sub>2.5</sub> factor distribution characteristics and contribution based on the PMF model. (a QZV and b LSV)

they are the most abundant metallic elements in the Earth's crust. Mg is considered to be associated with construction dust (Zhao et al. 2019). In the LSV site, factor 1 has high Cu, Zn, and Pb emissions and can be considered a vehicle emission source, and it accounted for 27.92%. Factor 2 was mainly characterized by V, Ni, Fe, Cr, and Mn, which can be identified as industrial emissions. This factor accounted for 32.05%. High loadings of As, Na, Co, Pb, and K occurred in factor 3, and the burning of biomass feedstocks, such as corn stover releases Na (Simoneit et al. 1999; Begum et al. 2004). Accordingly, factor 3 was interpreted as coal and biomass combustion emissions, and it accounted for 32.11%. Factor 4 has high Mg, Ca, Al, and Fe emissions and can be identified as dust sources, and it accounted for 7.92%. During the heating period, coal and biomass combustion were the dominant sources of PM<sub>2.5</sub>-bound HMs at both sites. The high percentage of this source in QZV was related to the lifestyle of remote rural residents who heat their homes by burning coal and biomass. However, coal and biomass combustion still contributed 32.11% despite that LSV is located in a centralized urban heating area. A large number of industrial enterprises with high consumption of coal and biomass existed around LSV, including thermal power generation and steel smelting. The high contribution of coal and biomass combustion may be related to the high consumption of energy sources such as coal and biomass in industrial production. In the present study, coal and biomass combustion was the main source of PM<sub>2.5</sub>-bound HMs in remote and suburban rural areas, and the contribution of the former was apparently higher than that of the latter. The share of industrial and vehicle emissions in LSV was also much greater than that in QZV. This result can be explained by two reasons: one is that more factories are relocated from urban to suburban rural, and the other is that the road network is dense and the traffic load is relatively high in the suburban rural areas. For dust sources, the contribution in QZV was as high as 26.87%, which is much greater than the 7.92% in LSV. According to our investigation, a large amount of farmland around QZV had exposed soil and transported from the surface to the atmosphere by wind.

#### **Uncertainty Analysis of the PMF Model**

The DISP and BS methods were used to obtain the error estimate (EE) of source contribution obtained from PMF. DISP was typically determined as the first step in the selection solution. The frequency of factor swapping was inversely correlated with the feasibility of the model solution. The DISP swap results from the PMF model for both sites were less than 0.1% (Table S3), which indicates that the DISP swap performance was well, and the data inaccuracies were negligible. The DISP results were also acceptable because the percentage change in Q was less than 1% (Table S3), which is consistent with the results of previous research (Brown et al. 2015). In the BS test, the number of bootstrap runs was set to 100, the black size was 14, and the correlation R value was 0.6 (Paatero et al. 2014). The diagonal values of the mapping matrix from the BS to the base factors at the both sampling sites were between 83-98 and 85-97% (Table S3), respectively. This finding implies that the matching rate of BS factor was feasible. The uncertainty intervals of the estimation errors based on the BS and DISP methods are described in Table S6. The interval ratios, which are a crucial indicator of the error estimation results for different metal elements, were calculated by using the difference between uncertainty intervals divided by the 50th percentile or mean (Wang et al. 2021b). In Fig. S2, the trends of BS and DISP interval ratios were consistent, which shows that the uncertainty results of the two methods were in agreement. The metal elements with large interval ratios (near or equal to two) indicated significant uncertainty (Wang et al. 2021b). For instance, in the QZV site, K, Na, Co, Ni, and Cr are present in factor 1, K, Mg, Ca, Al, Co, Pb, and Zn in factor 2, Mg, Ca, and V in factor 3, and Ni, Pb, As, and Cu in factor 4. In the LSV site, Ca, Al, Fe, Mn, Cr, Zn, and V are present in factor 1, Co, Ni, As, and Cu in factor 2, Na, Al, and As in factor 3, and Mg, Ca, and Na in factor 4. The interval ratio of BS was also greater than the interval ratio of DISP, which suggests that the random error accounted for a larger proportion of the uncertainty (Wu et al. 2020). Although certain uncertainties remained in the model, especially for species with a lower share, the four-factor solution of PMF model was deemed to be an optimal and reliable explanation in our study.

#### **Probabilistic Risk Assessment of Rural Population**

MCSs were used to derive probability NCR and CR for all residents (including children and adults) through three different routes in two rural areas. The descriptive statistics of the results are presented in Table 2.

The cumulative frequency plots of risk for the 10,000 simulation experiments are shown in Figs. 5 and 6. The health risks for all groups exposed to PM<sub>2</sub> 5-bound HMs were consistent at rural sites in the NCP. The risk of outdoor PM25 exposure for residents around QZV (HI of 4.38 for children, HI of 9.19E-01 for adults) was higher than that around LSV (HI of 2.70 for children, HI of 5.94E-01 for adults). Residents of remote rural areas were also more susceptible to the threat of NCR from haze exposure than those of rural suburban areas. The HI values in rural North China were much higher than those in Xinxiang urban area in the winter of 2014 (HI of 0.38 for children, HI of 0.21 for adults) (Feng et al. 2017), Shenzhen urban area in 2014–2020 (HI of 0.4 for children, HI of 0.3 for adults) (Yan et al. 2022), Kunming industrial area in 2013-2014 (HI of 0.26 for children, HI of 0.17 for adults) (Han et al. 2021b), and Beijing education area in 2016 (HI of 8.92E-01) (Liu et al. 2018a). The HI values were also lower than those in Xian and Nanchang urban areas (Li et al. 2021). The NCR in rural northern China was comparable to or even higher than those in some large cities, particularly in remote rural areas. The HI values were greater in children than in adults, which is consistent with the result of previous studies. Approximately 100% and 99.78% of children exceeded the risk threshold for HI, and children were more vulnerable to NCR than adults because of their habits of playing outdoor and licking contaminants attached to their hands (Zhang et al. 2021). The sensitivity of hemoglobin to HMs was also much higher than that of adults due to the fragile constitution of children (Sah et al. 2019). In this study, the trend of average HO values for all population was As > Pb > Cr > Zn > V > Co > Mn > Cu > Niin QZV, while the trend was As > Pb > Cr > V > Mn > Ni >Zn > Cu > Co in LSV. The high HQ of As was the dominant contributor to the increase in NCR. The HQ values of the remaining 6 HMs in children and adults were negligible except for As, Cr, and Pb.

According to the cumulative probability distribution of CR, the trend of average CR values for all population was As > Pb > Co > Cr > Ni in QZV. Meanwhile, the trend was As > Pb > Cr > Co > Ni in LSV. The 95% CIs of TCR were 1.61E-04-1.65E-04 and 9.74E-05-9.93E-05 for children and 2.33E-04-2.42E-04 and 1.39E-04-1.44E-04 for adults at the two rural sites, respectively, both of which significantly exceeded the acceptable risk threshold of  $10^{-6}$ . Among the 10,000 statistical samples generated by MCSs, 74.19% and 82.31% of children and adults, respectively, showed a TCR exceeding  $10^{-4}$  at QZV. Meanwhile, 38.18% and 57.29% of children and adults, respectively, showed such TCR at LSV. This result indicates that more than half of population was at risk of acute carcinogenic threats from PM<sub>2.5</sub>-bound HMs. Residents in remote rural areas also faced much higher CR than suburban rural areas. Outdoor As and Pb exposure were the major drivers of high CR in all population. Notably, the mean CR values for As were near or even exceeded  $10^{-4}$  at both sites, which suggests a remarkably severe cancer risk. The cancer risk of the remaining HMs, including Co, Ni, and Cr, was acceptable. In addition to Pb, the CR values were higher in adults than in children, which was related to the outdoor exposure time of the population due to occupation and lifestyle. Adults spend more time outside than children. More attention should be paid to rural areas, especially those far from urban centers, in alleviating haze pollution in China. Exposure of rural populations to airborne As and Pb through inhalation, ingestion, and dermal contact was the main cause of their high carcinogenic burden.

# Quantification of Health Risks Based on Pollution Sources

PMF–HR, which is a combination of the source appointment model and health risk assessment, was applied to the source contribution of health risks in rural China based on MCSs of risk mean values. In Fig. 7, the health risk contribution rates from different sources demonstrated a high degree of consistency between adults and children. This finding is similar to that of the study in Shanghai urban park (Huang et al. 2021).

The source of the mean NCR for children and adults in QZV mainly includes coal and biomass combustion, vehicle emissions, and industrial emissions. Notably, coal and biomass combustion contributed approximately 63.62% and 59.68%, respectively. The high NCR values in remote rural areas were closely linked to the use of coal and biomass fuels for heating in winter, and HM emissions from coal and biomass combustion having NCR values for children and adults were 2.79 and 5.48E–01, respectively.

 Table 2
 Summary of NCR and CR based on Monte Carlo simulations

Sites	Risk parameters	Specials	Mean		Median		SD		95%CI	
			Children	Adult	Children	Adult	Children	Adult	Children	Adult
QZV	Н	C0	5.41E-02	1.74E-02	4.45E-02	1.43E-02	3.87E-02	1.23E-02	(5.34E-02, 5.49E-02)	(1.72E-02, 1.77E-02)
		Ņ	1.91E - 02	3.77E-03	1.57E-02	2.90E - 03	1.52E-02	3.34E-03	(1.88E-02, 1.94E-02)	(3.70E-03, 3.83E-03)
		Mn	2.33E-02	1.08E - 02	1.95E-02	8.46E-03	1.55E-02	9.00E-03	(2.30E-02, 2.36E-02)	(1.07E-02, 1.10E-02)
		Pb	5.09E - 01	1.15E - 01	4.50E-01	2.60E - 01	7.87E-02	7.87E-02	(5.04E-01, 5.14E-01)	(1.13E-01, 1.17E-01)
		Cr	1.63E-01	8.40E - 02	1.30E - 01	5.75E-02	1.31E-01	8.93E-02	(1.61E-01, 1.66E-01)	(8.22E-02, 8.57E-02)
		Zn	1.15E-02	2.42E-03	8.84E-03	1.79E - 03	9.51E-03	2.23E-03	(1.13E-02, 1.17E-02)	(2.37E-03, 2.46E-03)
		$\mathbf{As}$	3.51	6.48E-01	2.99	5.42E-01	1.99	4.15E-01	(3.48, 3.55)	(6.40E-01, 6.56E-01)
		>	6.90E-02	3.37E-02	5.77E-02	2.05E-02	4.46E-02	4.33E-02	(6.81E-02, 6.98E-02)	(3.28E-02, 3.45E-02)
		Cu	1.96E - 02	3.83E - 03	1.77E-02	3.36E - 03	9.47E-03	2.26E-03	(1.94E-02, 1.98E-02)	(3.79E-03, 3.88E-03)
	HI	Total	4.38	9.19E - 01	3.88	8.00E-01	2.23	5.42E-01	(4.34, 4.43)	(9.09E-01, 9.30E-01)
	CR	Co	4.41E-08	1.86E - 07	3.67E-08	1.55E-07	3.06E - 08	1.28E - 07	(4.35E-08, 4.47E-08)	(1.83E-07, 1.88E-07)
		Ni	1.58E-09	6.64E-09	1.33E - 09	5.61E-09	1.19E - 09	5.01E - 09	(1.55E-09, 1.60E-09)	(6.54E-09, 6.73E-09)
		Pb	1.20E-06	7.69E-07	1.07E-06	6.67E-07	6.05E-07	4.67E-07	(1.19E-06, 1.21E-06)	(7.59E-07, 7.78E-07)
		Cr	4.43E-08	6.43E-08	3.90E - 08	5.72E-08	2.22E-08	3.17E-08	(4.38E-08, 4.47E-08)	(6.37E-08, 6.50E-08)
		$\mathbf{As}$	1.62E-04	2.37E-04	1.33E - 04	1.75E-04	1.10E - 04	2.25E-04	(1.60E-04, 1.64E-04)	(2.32E-04, 2.41E-04)
	TCR	Total	1.63E-04	2.38E-04	1.35E-04	1.76E - 04	1.10E - 04	2.25E-04	(1.61E-04, 1.65E-04)	(2.33E-04, 2.42E-04)
LSV	Н	Co	1.16E-02	3.74E-03	9.85E-03	3.18E - 03	6.84E - 03	2.19E-03	(1.15E-02, 1.17E-02)	(3.69E-03, 3.78E-03)
		Ni	2.04E - 02	4.01E-03	1.92E - 02	3.59E - 03	9.66E-03	2.28E-03	(2.02E-02, 2.06E-02)	(3.96E-03, 4.05E-03)
		Mn	2.58E-02	1.19E - 02	2.18E - 02	9.39E - 03	1.63E - 02	9.16E-03	(2.54E-02, 2.61E-02)	(1.17E-02, 1.20E-02)
		Pb	2.85E-01	6.37E-02	2.68E-01	5.74E-02	1.01E - 01	3.35E-03	(2.83E-01, 2.87E-01)	(6.31E-02, 6.44E-02)
		Cr	1.48E-01	7.63E-02	1.19E-01	5.16E-02	1.21E-01	8.34E-02	(1.46E-01, 1.51E-01)	(7.46E-02, 7.79E-02)
		Zn	1.30E - 02	2.71E-03	1.11E - 02	2.25E-03	7.48E-03	1.80E - 03	(1.29E-02, 1.32E-02)	(2.67E-03, 2.74E-03)
		$\mathbf{As}$	2.09	3.85E-01	1.96	3.53E-01	7.99	1.86E - 01	(2.08, 2.11)	(3.82E-01, 3.89E-01)
		>	9.28E-02	4.56E-02	8.39E-02	2.98E-02	3.96E - 02	5.38E-02	(9.20E-02, 9.36E-02)	(4.45E-02, 4.66E-02)
		Cu	1.20E-02	2.34E - 03	1.13E - 02	2.12E-03	4.94E - 03	1.20E - 03	(1.19E-02, 1.21E-02)	(2.31E-03, 2.36E-03)
	HI	Total	2.70	5.94E-01	2.56	5.40E-01	9.17E-01	2.96E-01	(2.68, 2.72)	(5.88E-01, 5.99E-01)
	CR	Co	9.50E-09	4.00E-08	8.16E-09	3.43E-08	5.37E-09	2.25E-08	(9.39E-09, 9.60E-09)	(3.96E - 08, 4.04E - 08)
		Ņ	1.69 E - 09	7.13E-09	1.62E-09	6.89E-09	7.17E-10	2.97E-09	(1.68E-09, 1.71E-09)	(7.07E-09, 7.19E-09)
		Pb	6.71E-07	4.27E-07	6.35E-07	4.04E-07	2.30E-07	1.95E - 07	(6.66E-07, 6.75E-07)	(4.24E-07, 4.31E-07)
		Cr	4.02E-08	5.81 E - 08	3.54E-08	5.12E-08	2.09E - 08	2.92E-08	(3.98E-08, 4.06E-08)	(5.75E-08, 5.87E-08)
		$\mathbf{As}$	9.76E-05	1.41E - 04	8.75E-05	1.11E - 04	4.94E-05	1.12E-04	(9.67E-05, 9.86E-05)	(1.39E-04, 1.43E-04)
	TCR	Total	9.83E-05	1.41E - 04	8.83E-05	1.11E - 04	4.94E-05	1.12E-04	(9.74E-05, 9.93E-05)	(1.39E-04, 1.44E-04)



Fig. 5 Cumulative probabilities of the NCR (HQ) and CR (CR) of HMs in QZV (The blue and red dashed lines represent the location of the average risk values for adults and children, respectively)

In LSV, the NCR contributions of the four sources were also distinctly different. Specifically, coal and biomass combustion, vehicle emissions and industrial emissions were the highest. The coal and biomass combustion were major NCR sources at two sites, and the risk share of LSV was lower than that of QZV. Meanwhile, the level of risk caused by vehicle and industrial emissions was greater. The ownership of motor vehicles in 2019 reached 340 million in China and has maintained a high growth rate in recent years (Central People's Government of the People's Republic of China, http://www.gov.cn/). Specifically, vehicle emissions were identified as a major source of  $PM_{2.5}$  in several Chinese cities (Wu et al. 2016; Zíková et al. 2016; Chao et al. 2019) and in rural in northern China (Feng et al. 2006), especially in suburban rural areas. The

NCR from industrial production activities was also not negligible, and it reached mean values of 6.73E–01 and 1.79E–01 for children and adults, respectively. The coal and biomass combustion for CR were also dominant in all groups at both sites, with approximately 68% (QZV) and 46% (LSV), respectively. Coal and biomass combustion in remote rural areas where coal is the primary energy source contributed more to the CR of residents than in suburban rural areas on the edge of urban centers. The use of coal and the burning of agricultural waste, in addition to raising the population's noncarcinogenic health risks, make people more susceptible to cancer in remote rural areas. As and Pb, which have the highest loads from coal and biomass combustion, should be considered the top pollutants for further risk control.



Fig. 6 Cumulative probabilities of the NCR (HQ) and CR (CR) of HMs in LSV (The blue and red dashed lines represent the location of the average risk values for adults and children, respectively)

The NCR of HMs from coal and biomass combustion to the residents was 2.68 times higher in QZV and 2.47 times greater in cancer burden than in LSV, while the health risk from motor vehicle emissions and industrial emissions was lower. This result suggests that the high health risk faced by remote rural populations mainly comes from coal combustion compared with that by rural suburban populations. The spread of electricity and natural gas reduces the health burden on residents from PM<sub>2.5</sub>-bound HMs in rural China, including noncarcinogenic and cancer risks, compared with that of coal. The use of coal and biomass for heating and cooking should be rigorously prohibited in remote rural areas, while motor vehicle exhaust ought to be strictly controlled in suburban rural areas. The health hazards that industrial pollution poses to rural residents must be regarded as an increasing number of highly polluting industries move from the cities to the countryside.

#### **Strengths and Limitations**

Differences in health risks among rural populations with dissimilar types of energy use were first proposed, and field observations were implemented in suburban rural and remote rural areas. Probabilistic and source-specific health risk assessments were coupled to reduce the uncertainty introduced by point estimates and provide a scientific basis for risk management directions. However, uncertainties remain in the health risk assessment of this study. Firstly, the CR and NCR exposed to the local population were calculated based only on the sum of the risks of the nine HMs, **Fig. 7** Relative contribution of each source to the NCR and CR at two sites

#### Non-carcinognic risk

Carcinognic risk



and more HM species should be considered, such as Cd and Hg. Secondly, only the total concentrations of HMs were considered in the present study, and health risk assessment based on the total concentration may overestimate the potential health burden relative to that based on bioavailability. The finite number of sampling sites is the limitation, and more research on both rural types is required in the future to complement our perspective.

#### Conclusion

The likelihood of developing cardiovascular or respiratory diseases, and even premature death due to air pollution exposure, is higher among rural populations than urban ones in China. There is a significant discrepancy between government policies aimed at reducing health risks for rural populations and the actual situation, primarily due to the lack of information about haze pollution in these areas.

Our study quantified the health risks for rural residents using different types of energy during hazy weather. Using a combination of probabilistic and source-specific risk assessment methods in the North China Plain, we found that the average  $PM_{2.5}$  mass greatly surpassed the daily guideline recommended by WHO and was higher than in most Chinese cities. Suburban rural areas carried a heavier air pollution burden than remote rural areas. There was considerable variability exists in the probabilistic and

source-specific health risks among populations located in rural areas with different energy use types. At the QZV and LSV sites, 100% and 99.78% of children, and 32.17% and 8.12% of adults, respectively, exceeded the acceptable risk threshold of 1 for HI. The TCR values for all populations exceeded  $10^{-6}$ , with higher burdens found in remote rural areas. Children faced higher NCR but lower CR than adults. The NCR of HMs from coal and biomass combustion was 2.68 times higher in QZV and carried 2.47 times more cancer risk than in LSV. However, the health risks from vehicle and industrial emissions were lower. The widespread use of electricity and natural gas decreased the health burden on residents compared with coal. The study recommends coordinated control of both non-carcinogenic and carcinogenic burdens to mitigate the health risks of rural populations exposed to haze.

Coal and biomass combustion in remote rural areas should be considered major control sources due to their significant contributions to pollution. In suburban rural areas, the main focus should be on reducing coal and biomass combustion, vehicle emissions, and industrial emissions. As and Pb, which have the highest loads from coal and biomass combustion, should be prioritized for risk control. During the energy transition, increasing industrial emissions in rural areas pose new challenges for local public health management. Managing PM<sub>2.5</sub>-bound HMs is complex due to the dispersed nature of villages and the high exposure of rural populations in China. There is a need for further extensive studied on the different types of energy use in rural areas to ensure precise policy direction.

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#### Declarations

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