

**ISSN: 0812-3985 (Print) 1834-7533 (Online) Journal homepage:<https://www.tandfonline.com/loi/texg20>**

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**To cite this article:** Tiaojie Xiao, Xiangyu Huang & Yun Wang (2019): Three-dimensional magnetotelluric modelling in anisotropic media using the A-phi method, Exploration Geophysics, DOI: [10.1080/08123985.2018.1564274](https://www.tandfonline.com/action/showCitFormats?doi=10.1080/08123985.2018.1564274)

**To link to this article:** <https://doi.org/10.1080/08123985.2018.1564274>



Published online: 07 Feb 2019.



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# **Three-dimensional magnetotelluric modelling in anisotropic media using the A-phi method**

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#### **ABSTRACT**

It is important to study the responses of the magnetotelluric (MT) method in anisotropic media. However, MT anisotropy research has focused mainly on one-dimensional (1D) and twodimensional (2D) solutions. Therefore, we developed a three-dimensional (3D) finite element (FE) algorithm for MT modelling in anisotropic media. This approach is based on the weak formulation of the governing Maxwell equations using Coulomb-gauged potentials. The node-based FE method is adopted here, and the values of the coefficient matrixes are obtained with hexahedral meshes. To validate the correctness and accuracy of this method, its results are compared with previous solutions for a 2D anisotropic model and a 3D arbitrary anisotropic model, respectively. Different solvers with different preconditioners are tested, and the results show that the quasiminimum residual method with the incomplete LU preconditioner is more stable and faster compared with the other schemes. We then studied a 3D anisotropic model in three different conditions, and analysed the results in detail. Finally, three main conclusions are obtained: the xy- and yy-mode apparent resistivities remain almost unchanged if a principal conductivity is in the *x*-direction; the yx- and xx-mode apparent resistivities remain almost the same if a principal conductivity is in the *y*-direction; a principal conductivity in the *z*-direction has almost no influence on apparent resistivities.

# <span id="page-1-1"></span>**ARTICLE HISTORY**

Received 10 July 2017 Accepted 19 December 2018

#### **KEYWORDS**

Magnetotelluric; anisotropy; 3D modelling; Coulomb-gauged potentials; finite element

# **Introduction**

<span id="page-1-27"></span><span id="page-1-15"></span><span id="page-1-5"></span><span id="page-1-3"></span>The magnetotelluric (MT) method, a passive geophysical exploration technique, has been used in many areas such as mineral resources surveys, oil and gas exploration, and investigation of deep Earth electrical structures (Farquharson and Craven [2009;](#page-9-0) Bai et al. [2010;](#page-8-0) Sarvandani et al. [2017\)](#page-9-1). Researchers (Christensen [1984;](#page-8-1) Klein and Santamarina [2003;](#page-9-2) Evans et al. [2005\)](#page-8-2) have shown that the Earth is anisotropic, and electrical anisotropy has been recognised as a significant factor for the interpretation of MT data. Although it is not reasonable to ignore the anisotropic influence, interpretations of MT data generally assume that the medium is isotropic. Because modelling is the base of the inversion, it is meaningful and important to study MT modelling in anisotropic media.

<span id="page-1-33"></span><span id="page-1-32"></span><span id="page-1-26"></span><span id="page-1-24"></span><span id="page-1-23"></span><span id="page-1-14"></span><span id="page-1-13"></span><span id="page-1-12"></span><span id="page-1-11"></span><span id="page-1-10"></span><span id="page-1-6"></span>In one-dimensional (1D) anisotropic media, forward modelling and inversion of MT have been widely studied (O'Brien et al. [1967;](#page-9-3) Reddy and Rankin [1971;](#page-9-4) Dekker and Hastie [1980;](#page-8-3) Yin [2000;](#page-10-0) Pek and Santos [2002;](#page-9-5) Kirkby et al. [2015\)](#page-9-6). A great many of studies (Heise and Pous [2001,](#page-9-7) [2003;](#page-9-8) Yin [2003;](#page-10-1) Hu et al. [2013;](#page-9-9) Huo et al. [2015\)](#page-9-10) of two-dimensional (2D) anisotropic media have also been carried out: early research focused on symmetrical anisotropic media (Xu [1994\)](#page-9-11); Pek and Verner [\(1997\)](#page-9-12)

<span id="page-1-30"></span><span id="page-1-29"></span><span id="page-1-28"></span><span id="page-1-22"></span><span id="page-1-21"></span><span id="page-1-20"></span><span id="page-1-19"></span><span id="page-1-18"></span><span id="page-1-17"></span><span id="page-1-16"></span><span id="page-1-9"></span><span id="page-1-8"></span><span id="page-1-7"></span><span id="page-1-4"></span>developed the finite difference (FD) method for MT modelling in 2D arbitrary anisotropic media; later, Li [\(2002\)](#page-9-13) presented a 2D finite element (FE) method for MT modelling in generalised anisotropic media; and Li and Pek [\(2008\)](#page-9-14) further developed a 2D adaptive FE modelling algorithm in arbitrary anisotropic media. However, despite the great deal of work carried out in this general area, there are just a handful of three-dimensional (3D) anisotropic modelling studies (Martinelli and Osella [1997;](#page-9-15) Wang and Fang [2001;](#page-9-16) Häuserer and Junge [2011;](#page-9-17) Löwer and Junge [2017;](#page-9-18) Kong et al. [2018;](#page-9-19) Cao et al. [2018\)](#page-8-4). In particular, Weidelt et al. [\(1999\)](#page-9-20) presented a staggered-grid FD algorithm for MT modelling in 3D arbitrary anisotropic media, however, this algorithm is not convenient for dealing with irregular anomalies. Li [\(2000\)](#page-9-21) presented a node-based FE method for MT modelling, however, it is not accurate enough because the nodal FE method cannot meet the required condition that the normal electric fields are discontinuous at electrical interfaces. To avoid this disadvantage of the node-based FE method, Xiao et al. [\(2018b\)](#page-9-22) developed an edge-based FE method, but it is also not convenient for dealing with irregular anomalies or topography as rectangular meshes were adopted. Recently, Liu et al. [\(2018\)](#page-9-23) presented an adap-

<span id="page-1-31"></span><span id="page-1-25"></span>**CONTACT** Tiaojie Xiao **۞** [xiaotiaojie16@mails.ucas.ac.cn](mailto:xiaotiaojie16@mails.ucas.ac.cn) **■** Institute of Geochemestry, Chinese Academy of Sciences, 99 Lincheng Road, Guiyang, Guizhou 550081, China

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tive FE method with tetrahedron elements in 3D general anisotropic media, which is able to simulate topography and irregular anomalies.

<span id="page-2-10"></span><span id="page-2-9"></span><span id="page-2-3"></span><span id="page-2-2"></span><span id="page-2-1"></span>FE methods are widely used in the area of electromagnetic (EM) numerical modelling (Everett [2012;](#page-9-24) Cai et al. [2014;](#page-8-5) Ren et al. [2014;](#page-9-25) Li et al. [2016\)](#page-9-26). For MT modelling, most of the FE methods are formulated in terms of electric fields or magnetic fields, although they can also be formulated in terms of coupled vector–scalar potentials (Biro and Preis [1989;](#page-8-6) Haber et al. [2000;](#page-9-27) Badea et al. [2001;](#page-8-7) Mitsuhata and Uchida [2004;](#page-9-28) Xiao et al. 2018). Puzyrev et al. [\(2013\)](#page-9-29) presented a nodal FE algorithm for 3D controlled-source EM (CSEM) forward modelling problems using the secondary coupled-potential formulation of Maxwell's equations in anisotropic media, however, the case of generalised anisotropy was not taken into consideration. Cai et al. [\(2015\)](#page-8-8) implemented a 3D FE solution for marine CSEM data and formulated the problem with the scalar and vector potentials, but also did not consider generalised anisotropy.

Here, the algorithm for 3D MT forward modelling in anisotropic media is formulated in terms of Coulombgauged EM potentials ( $\mathbf{A} - \psi$ ) using a node-based FE method. The main contribution of this paper is that we develop a method to simulate MT responses in 3D electrical anisotropic media. Although there are several algorithms in terms of electric or magnetic fields, we present another option.

# **Problem formulation**

Applying the MT method, displacement currents are negligible in comparison with their conduction counterparts. For the EM field, the diffusive Maxwell's equations with a time dependence of *e*−*i*ω*<sup>t</sup>* are (Xu [1994\)](#page-9-11):

$$
\nabla \times \mathbf{E} = i\omega\mu\mathbf{H}
$$
 (1)

$$
\nabla \times \mathbf{H} = \tilde{\sigma} \mathbf{E} \tag{2}
$$

In these expressions, **E** and **H** are the EM field, ω is the angular frequency,  $\mu$  is the magnetic permeability of free space, and  $\tilde{\sigma}$  is the conductivity in anisotropic media.  $\tilde{\sigma}$  in Equation (2) is a tensor as follows:

$$
\tilde{\sigma} = \begin{pmatrix} \sigma_{xx} & \sigma_{xy} & \sigma_{xz} \\ \sigma_{yx} & \sigma_{yy} & \sigma_{yz} \\ \sigma_{zx} & \sigma_{zy} & \sigma_{zz} \end{pmatrix}
$$
 (3)

<span id="page-2-8"></span><span id="page-2-7"></span><span id="page-2-6"></span><span id="page-2-5"></span>There are two methods (Yin [2000;](#page-10-0) Pek and Santos [2002\)](#page-9-5) to define  $\tilde{\sigma}$ , the latter of which is adopted here. As shown in Figure [1,](#page-2-0)  $\tilde{\sigma}$  is represented by six variables: three principal conductivities (σ*x*, σ*y*, σ*z*), as well as the strike angle, dip angle and slant angle (α*S*, α*D*, α*L*). The rotation axes of three rotations are the *z*-axis, *x* -axis and *z* -axis, respectively.

<span id="page-2-4"></span>The EM field can be expressed in terms of a magnetic vector potential **A** and an electrical scalar potential  $\psi$  as (Puzyrev et al. [2013\)](#page-9-29),

$$
\mathbf{B} = \nabla \times \mathbf{A} \tag{4}
$$

$$
\mathbf{E} = i\omega(\mathbf{A} + \nabla\psi) \tag{5}
$$

where **B** =  $\mu_0$ **H**.

To guarantee the uniqueness of the vector potential **A**, the Coulomb gauge condition  $\nabla \cdot \mathbf{A} = 0$  should be adopted. Substituting Equations (4) and (5) into Equations (1) and (2), then Equations (6) and (7) are obtained as follows (see Puzyrev et al. [\(2013\)](#page-9-29) for details):

$$
\nabla^2 \mathbf{A} + i\omega \mu_0 \tilde{\sigma} (\mathbf{A} + \nabla \psi) = 0 \tag{6}
$$

$$
\nabla \cdot [i\omega\mu_0 \tilde{\sigma}(\mathbf{A} + \nabla \psi)] = 0 \tag{7}
$$

Solving Equations (6) and (7) simultaneously with proper boundary conditions, then **A** and  $\psi$  can be obtained.

#### *Finite element analysis*

The study domain utilised here, as shown in Figure [2,](#page-3-0) is divided into two zones (the air zone and the subterranean zone).



<span id="page-2-0"></span>**Figure 1.** Illustration of basic anisotropic parameters: transformation of conductive dike into general position by successively applying three elementary Euler's rotations  $\alpha_5$ ,  $\alpha_D$  and  $\alpha_I$  (Pek and Santos [2002\)](#page-9-5).



<span id="page-3-0"></span>**Figure 2.** The solution domain.

In Cartesian coordinates, the magnetic vector potential can be written as,

$$
\mathbf{A} = A_x \mathbf{e}_x + A_y \mathbf{e}_y + A_z \mathbf{e}_z \tag{8}
$$

Therefore, Equations (6) and (7) can be written as,

$$
\nabla^2 A_x + i\omega\mu_0 \tilde{\sigma} (A_x + \frac{\partial \psi}{\partial x}) = 0
$$
 (9)

$$
\nabla^2 A_y + i\omega\mu_0 \tilde{\sigma} (A_y + \frac{\partial \psi}{\partial y}) = 0
$$
 (10)

$$
\nabla^2 A_z + i\omega\mu_0 \tilde{\sigma} (A_z + \frac{\partial \psi}{\partial z}) = 0 \tag{11}
$$

$$
i\omega\mu_0\tilde{\sigma}\left(\frac{\partial A_x}{\partial x} + \frac{\partial A_y}{\partial y} + \frac{\partial A_z}{\partial z}\right) + i\omega\mu_0\nabla\cdot[\tilde{\sigma}\nabla\psi] = 0
$$
\n(12)

A node-based FE method (Jin [2002\)](#page-9-30) is used here to discretise Equation (6) and Equation (7), and considering Green's first identity (Equation 13) and the vector calculus identity (Equation 14),

$$
\int_{v} (\nabla \phi \cdot \nabla \varphi + \phi \nabla^{2} \varphi) dv = \oint_{s} (\phi \nabla \varphi) \cdot d\mathbf{s} \qquad (13)
$$

$$
\nabla \cdot (\varphi \mathbf{A}) = \varphi(\nabla \cdot \mathbf{A}) + \mathbf{A} \cdot (\nabla \varphi) \tag{14}
$$

Volume-integrated equations can be obtained as follows:

$$
-(\nabla N, \nabla A_x)_{\Omega} + i\omega\mu_0 \tilde{\sigma}\left(N, A_x + \frac{\partial \psi}{\partial x}\right)_{\Omega} = 0 \quad (15)
$$

$$
-(\nabla N, \nabla A_{y})_{\Omega} + i\omega\mu_{0}\tilde{\sigma}\left(N, A_{y} + \frac{\partial\psi}{\partial y}\right)_{\Omega} = 0 \quad (16)
$$

$$
-(\nabla N, \nabla A_z)_{\Omega} + i\omega\mu_0 \tilde{\sigma} \left(N, A_z + \frac{\partial \psi}{\partial z}\right)_{\Omega} = 0 \quad (17)
$$

$$
i\omega\mu_0(\tilde{\sigma}\nabla N, A)_{\Omega} + i\omega\mu_0(\tilde{\sigma}\nabla N, \nabla\psi)_{\Omega} = 0 \tag{18}
$$

 $w$ here  $(u, v)_{\Omega} = \int_{\Omega} uv d\Omega$ ,  $(\nabla u, \nabla v)_{\Omega} = \int_{\Omega} \nabla u \cdot \nabla v d\Omega$ and  $\Omega$  is the whole space, and  $N$  is the linear nodal basis function (Jin [2002\)](#page-9-30):

$$
A_x^e = \sum_{i=1}^8 A_{xi}^e N_i, A_y^e = \sum_{i=1}^8 A_{yi}^e N_i, A_z^e = \sum_{i=1}^8 A_{zi}^e N_i \qquad (19)
$$

$$
\psi^e = \sum_{j=1}^8 \psi_j^e N_j \tag{20}
$$

After added the boundary conditions, the system equations can be obtained by the discretization of the differential equations:

$$
Ku = b \tag{21}
$$

The matrix for a given element can be expressed as:

$$
\mathbf{K}_{e} = \begin{bmatrix} K_{11} & K_{12} \\ K_{21} & K_{22} \end{bmatrix}
$$
  
= 
$$
\sum_{i=1}^{8} \sum_{j=1}^{8} \begin{bmatrix} (-(\nabla N_{i}, \nabla N_{j})_{e} \\ + i\omega\mu_{0}(\tilde{\sigma}N_{i}, N_{j})_{e})J_{33} & i\omega\mu_{0}(\tilde{\sigma}N_{i}, \nabla N_{j})_{e} \\ i\omega\mu_{0}(\tilde{\sigma}N_{i}, \nabla N_{j})_{e}^{T} & i\omega\mu_{0}(\tilde{\sigma} \nabla N_{i}, \nabla N_{j})_{e} \end{bmatrix}
$$
(22)

where  $I_{33}$  is the 3  $\times$  3 identity matrix. The values of  $\mathbf{K}_e$ are given in Appendix [A.](#page-10-2)

# *Boundary conditions*

To obtain a unique solution for Equation (21), proper conditions must be imposed on the outer boundaries, including the top surface, the bottom surface and the four side surfaces of the study space utilised in this work; Dirichlet boundaries are adopted here. Assuming the outer boundaries are far enough from the anomalies,

$$
\psi|_{\Gamma} = 0 \tag{23}
$$

<span id="page-3-1"></span>and

$$
\mathbf{A}|_{\Gamma} = \frac{\mathbf{E}|_{\Gamma}}{i\omega} \tag{24}
$$

Where  $E|_{\Gamma}$  is the solution corresponding to an earth model without any 2D or 3D conductivity anomalies. Two orthogonal sources are located on the top surface (ABCD).

# **Apparent resistivity and phase**

According to Equations (4) and (5), the electric fields and magnetic fields can be obtained after solving Equation (21),

$$
H_{x} = \frac{1}{\mu_{0}} \left( \frac{\partial A_{z}}{\partial y} - \frac{\partial A_{y}}{\partial z} \right), H_{y} = \frac{1}{\mu_{0}} \left( \frac{\partial A_{x}}{\partial z} - \frac{\partial A_{z}}{\partial x} \right),
$$
  

$$
H_{z} = \frac{1}{\mu_{0}} \left( \frac{\partial A_{y}}{\partial x} - \frac{\partial A_{x}}{\partial y} \right)
$$
(25)

$$
E_x = i\omega (A_x + \frac{\partial \psi}{\partial x}), E_y = i\omega (A_y + \frac{\partial \psi}{\partial y}),
$$
  

$$
E_z = i\omega (A_z + \frac{\partial \psi}{\partial z})
$$
 (26)

Then, the apparent resistivities and phases can be obtained (Li [2002\)](#page-9-13).

# **Numerical experiments**

### *Validating the accuracy*

To validate the correctness and accuracy of the algorithm presented in this paper, comparisons were made with results of the FD method (Pek and Verner [1997\)](#page-9-12) for a 2D anisotropic model, and with solutions of the edgebased FE method (Xiao et al. 2018) for a 3D arbitrary anisotropic model, respectively.

# *2D test model*

As shown in Figure [3,](#page-4-0) there is a 2D anisotropic anomaly in an anisotropic half-space. For the half-space, the principal conductivities are 0.005, 0.01 and 1/300 S/m, and its three Euler's angles are 30°, 0° and 0°, respectively. For the 2D anomaly, its principal conductivities are 1/60, 1/30 and 1/80 S/m, and its three Euler's angles





are 10°, 60° and 20°, respectively; it has dimensions of 3600 m  $\times$  4400 m and its top depth is 410 m. Although the  $A - \psi$  method presented here is not suitable for 2D models because Dirichlet boundaries are adopted  $(\psi|_{\Gamma} = 0$  should be satisfied at the outer boundaries), we used a 3D anomaly as an approximation of the 2D anomaly. Based on the 2D test model, what is different is that the 3D anomaly has a length of 40 000 m in the *x*-direction.

At a frequency of 50 Hz, we compared our results  $(x = 0$  m) with the solutions of FD code (Pek and Verner [1997\)](#page-9-12). As shown in Figure [3,](#page-4-0) the comparison reveals that the results of the two methods show very close levels of agreement.

### *3D test model*

The 3D test anisotropic model is shown in Figure [5.](#page-4-1) In an isotropic half-space whose conductivity is 0.01 S/m, there is a 3D generally anisotropic anomaly whose dimensions are 600 m  $\times$  600 m  $\times$  600 m and top depth is 220 m. Its three Euler's angles ( $\alpha$ <sub>S</sub>,  $\alpha$ <sub>D</sub>,  $\alpha$ <sub>I</sub>) are 10<sup>°</sup>, 30<sup>°</sup>



<span id="page-4-1"></span>

<span id="page-4-0"></span>

**Figure 4.** The comparison of A  $-\psi$  method and the FD method (Pek and Verner [1997\)](#page-9-12).

and 20°, respectively. Its three principal conductivities (σ*x*, σ*<sup>y</sup>* and σ*z*) are 0.01, 0.02 and 0.005 S/m, respectively. The apparent resistivities of the two methods are shown in Figure [5.](#page-4-1) The compute frequency is 10 Hz.

In Figure [6,](#page-5-0) the first and second columns correspond to the apparent resistivities of the  $A - \psi$  method and edge-based FE method (Xiao et al. 2018), respectively; the third column corresponds to the relative errors (%); the first and second rows correspond to  $\rho_{xy}$  and  $\rho_{yx}$ , respectively. As shown in Figure [6,](#page-5-0) the results of the two methods reveal very close levels of agreement as the relative errors are  $<$  3%.

### *Sparsity patterns*

Although the scale of the 3D test model is not large, it is suitable to analyse sparsity patterns and solvers. The sparsity patterns in different conditions are shown



**Figure 6.** The comparison of the results of  $A - \psi$  method and edge-based FE method (Xiao et al. 2018).

<span id="page-5-0"></span>

**Figure 7.** Sparsity patterns: (a) axial anisotropy; (b)  $\alpha_S \neq 0$ ; (c)  $\alpha_D \neq 0$ ; (d)  $\alpha_L \neq 0$ ; (e)  $\alpha_S \neq 0$  and  $\alpha_D \neq 0$ ; (f)  $\alpha_S \neq 0$  and  $\alpha_L \neq 0$ ; (g)  $\alpha_D \neq 0$  and  $\alpha_L \neq 0$ ; (h)  $\alpha_S \neq 0$ ,  $\alpha_D \neq 0$  and  $\alpha_L \neq 0$ .

<span id="page-5-1"></span>

<span id="page-5-2"></span>**Figure 8.** Convergences plot of QMR, BiCGSTAB and GMRES solvers without pre-conditioners for the data of the test model.



<span id="page-6-1"></span>**Figure 9.** Convergences plot of QMR, BiCGSTAB and GMRES solvers with pre-conditioners for the data of the test model.



**Figure 10.** A 3D anisotropic body embedded in a half-space.

in Figure [7.](#page-5-1) The mesh contains 34 200 elements and 37 479 nodes. The resulting size of the sparse stiffness matrix is 149 916  $\times$  149 916. The nonzero number is 7 893 412. As shown in Figure [7,](#page-5-1) the patterns change as the angles change. There are eight cases: (a) α*S*, α*<sup>D</sup>* and  $\alpha_L$  all equal 0°; (b)  $\alpha_S$  is nonzero but the other two Euler's angles both equal  $0^\circ$ ; (c)  $\alpha_D$  is nonzero but the other two Euler's angles both equal 0°; (d) α*<sup>L</sup>* is nonzero but the other two Euler's angles both equal  $0^\circ$ ; (e)  $\alpha_5$ and  $\alpha_D$  both are nonzero but  $\alpha_L$  is 0°; (f)  $\alpha_S$  and  $\alpha_L$  both are nonzero but  $\alpha_D$  equals 0°; (g)  $\alpha_L$  and  $\alpha_D$  both are nonzero but  $\alpha_S$  is 0°; and (h) none of three Euler's angles

<span id="page-6-0"></span>

<span id="page-6-2"></span>**Figure 11.** The apparent resistivities with a different angle <sup>α</sup>*<sup>S</sup>* for the 3D model in Figure [10](#page-6-0) at the frequency of 20 Hz.

is 0°. As shown in Figure [7,](#page-5-1) (b), (d) and (f) are similar to each other, and (e), (g) and (h) are similar to each other, which is in agreement with the theory shown in Figure [1.](#page-2-0)

#### *Solvers and preconditioners*

Figure [8](#page-5-2) shows the convergences plot of quasi-mini mum residual (QMR), bi-conjugate gradient stabilised (BiCGSTAB) and generalised minimal residual (GMRES) solvers without preconditioners for the data of the 3D test model at the frequency of 10 Hz. For both the xy-mode and yx-mode, it shows clearly that QMR and BiCGSTAB solvers are more stable compared with the GMRES solver, and the convergence of GMRES is slower than that for the QMR solver and BiCGSTAB solver. However, up to 10 000 iterations, the convergences of the three solvers are  $> 10^{-6}$ .

Figure [9](#page-6-1) shows the convergences plot of QMR, BiCGSTAB and GMRES solvers with symmetric successive over-relaxation (SSOR) or incomplete LU (ILU, where "LU" stands for lower upper) preconditioner. For both the xy-mode and yx-mode, it shows clearly that a QMR solver with ILU preconditioner and a BiCGSTAB solver with ILU preconditioner are more stable and faster compared with the other schemes. Because the results of the other models in this paper are similar, we show only the results of this 3D test model. Comparing Figure [8](#page-5-2) with Figure [9,](#page-6-1) we find that it is very important to choose a proper preconditioner.

# **3D anisotropic model**

To study the responses of MT in anisotropic media, based on previous work (Xiao et al. 2018), a special 3D anisotropic model is studied. As shown in Figure [10,](#page-6-0) a 3D anisotropic anomaly is embedded in an isotropic half-space of 0.01 S/m. The anomaly has a dimension of  $800 \times 800 \times 800$  m, and a top depth of 170 m. For the three principal conductivities, σ*<sup>x</sup>* and σ*<sup>z</sup>* are both equal to 0.01 S/m, which is the same as the conductivity of the half-space, and σ*<sup>y</sup>* is 0.02 S/m. Three cases with different Euler's angles are studied.

For the case of  $\alpha_S = 0^\circ/30^\circ/60^\circ/90^\circ$ ,  $\alpha_D = 0^\circ$ ,  $\alpha_L =$ 0◦, the apparent resistivities are shown in Figure [11.](#page-6-2) The angles  $\alpha_D$  and  $\alpha_L$  are both equal to 0°, and the angle  $\alpha_S$  is



<span id="page-7-0"></span>**Figure 12.** The apparent resistivities with a different angle  $\alpha_D$  for 3D model in Figure [9](#page-6-1) at the frequency of 20 Hz.

0°, 30°, 60° and 90° from left to right, respectively. There are three symbols used in Figure [11:](#page-6-2) the white square represents the shape and size of the anomalous body in the horizontal plane; the angles between the purple solid line and the *x* direction are 0°, 30°, 60° and 90° from left to right, respectively; and the angles between the green solid line and the *y* direction are 0°, 30°, 60° and 90° from left to right, respectively. The meanings of these three symbols remain unchanged in this paper. As shown in Figure [11,](#page-6-2) (1) when  $\alpha_S = 0^\circ$ ,  $\rho_{xy} = 100$  $\Omega \cdot m$  and  $\rho_{yy} = 0 \Omega \cdot m$ , this is because the conductivity in the *x*-direction is 0.01 S/m and remains unchanged when  $\alpha$ <sub>S</sub> = 0°; (2) because the conductivity in the *y*direction remains unchanged with a value of 0.01 S/m when  $\alpha_S = 90^\circ$ ,  $\rho_{yx} = 100 \Omega \cdot m$  and  $\rho_{xx} = 0 \Omega \cdot m$ ; (3) when  $\alpha$ <sub>S</sub> is 0° or 90°,  $\rho_{xx}$ ,  $\rho_{xy}$ ,  $\rho_{yx}$  and  $\rho_{yy}$  all are symmetric; (4) as  $\alpha$ <sub>S</sub> changes,  $\rho_{xx}$ ,  $\rho_{xy}$ ,  $\rho_{yx}$  and  $\rho_{yy}$  all can indicate the position and shape of the anomalous body; (5) as  $\alpha_5$ changes, ρ*xy*, ρ*yx* are able to indicate the value of angle α*S*.

For the case of  $\alpha_5 = 0^\circ$ ,  $\alpha_D = 0^\circ/30^\circ/60^\circ/90^\circ$ ,  $\alpha_L =$ 0◦, the apparent resistivities are shown in Figure [12.](#page-7-0) The angles  $\alpha_S$  and  $\alpha_L$  are both equal to 0°, and angle  $\alpha_D$  is 0°, 30°, 60° and 90° from left to right, respectively. As shown in Figure [12:](#page-7-0) (1) when  $\alpha_D$  changes,  $\rho_{xy} = 100 \Omega \cdot m$  and  $\rho_{\text{vv}} = 0 \Omega \cdot m$ , this is because the conductivity in the *x*direction remains unchanged with a value of 0.01 S/m; (2) when  $\alpha_D$  is 90°,  $\rho_{yx} = 100 \Omega \cdot m$  and  $\rho_{xx} = 0 \Omega \cdot m$ , as the conductivity in the *y*-direction is 0.01 S/m; (3) in this case,  $\rho_{xx}$  and  $\rho_{yx}$  both can indicate the position of the anomalous body, however, ρ*xy* and ρ*yy* cannot.

For the case of  $\alpha_S = 0^\circ$ ,  $\alpha_D = 0^\circ/30^\circ/60^\circ/90^\circ$ ,  $\alpha_L =$ 0◦, the apparent resistivities (ρ*xx*, ρ*xy*, ρ*yx* and ρ*yy*) are the same as the apparent resistivities in Figure [11,](#page-6-2) this is because angle  $\alpha_5$  and angle  $\alpha_L$  work in the same way when  $\alpha_D = 0^\circ$ , which agrees with the theory shown in Figure [1.](#page-2-0)

# **Conclusions**

In terms of Coulomb-gauged EM potentials, we developed a node-based FE algorithm for the MT numerical modelling in 3D conductivity anisotropic media. The accuracy of this algorithm was validated by comparing its results with solutions of the FD method for a 2D anisotropy model, and with solutions of the edge-based FE method for a 3D generalised anisotropy model, respectively. We then studied different solvers with different preconditioners. A simple 3D anisotropic model in three different conditions was then studied. Considering the results and discussion above, we reached four main conclusions: (1) when  $\alpha_D$  and  $\alpha_L$  both equal 0°, and  $\alpha$ <sub>S</sub>changes, the apparent resistivity is able to indicate the position and shape of the anomalous body, furthermore,  $\rho_{xy}$  and  $\rho_{yx}$  can both indicate the value of  $\alpha_{5}$ ; (2) when  $\alpha_S$  and  $\alpha_L$  both equal 0°, and  $\alpha_D$  changes, the conductivity in the *x*-direction remains unchanged, and the apparent resistivity can still indicate the position and shape of the anomalous body; (3) if a principal conductivity is in the *x* direction, then ρ*xy* and ρ*yy* almost remain the same, namely the conductivity in the *y*-direction or *z*-direction has almost no influence; (4) if a principal conductivity is in the *y*-direction, then ρ*yx* and ρ*xx* remain almost unchanged; (5) if a principal conductivity is in the *z*-direction, then it has almost no influence on the apparent resistivities. In addition, (6) for the total stiffness equation in this paper, QMR solver with the ILU preconditioner is more stable and faster comparing with the other schemes.

# **Acknowledgements**

The authors would like to express their thanks to Dr Li Yuguo for his PhD dissertation, to Dr Kong Wenxin for discussion about the modelling of MT in 3D anisotropic media, and to Dr Josef Pek for his 1D and 2D FD codes. The authors declare no conflicts of interest.

# **Funding**

This study is co-funded by the National Key Research and Development Project of China (2016YFC0600301) and the National Natural Science Foundation of China (No. 41425017).

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# **Appendix A: the values of K***<sup>e</sup>*

<span id="page-10-2"></span>
$$
\mathbf{K}_{e} = \begin{bmatrix} K_{11} & K_{12} \\ K_{21} & K_{22} \end{bmatrix}
$$
  
= 
$$
\sum_{i=1}^{8} \sum_{j=1}^{8} \begin{bmatrix} (-(\nabla N_{i}, \nabla N_{j})_{e} \\ + i\omega\mu_{0}(\tilde{\sigma} N_{i}, N_{j})_{e})J_{33} & i\omega\mu_{0}(\tilde{\sigma} N_{i}, \nabla N_{j})_{e} \\ i\omega\mu_{0}(\tilde{\sigma} N_{i}, \nabla N_{j})_{e}^{T} & i\omega\mu_{0}(\tilde{\sigma} \nabla N_{i}, \nabla N_{j})_{e} \end{bmatrix}
$$
(A1)

(1) *K<sup>e</sup>* 11

$$
K_{11}^{e} = \int_{v} \sum_{i=1}^{8} \sum_{j=1}^{8} \{ -[A] + i\omega\mu_{0}[B] \} dv
$$
 (A2)

where

$$
A = \begin{pmatrix} \frac{\partial N_i}{\partial x} \frac{\partial N_j}{\partial x} + \frac{\partial N_i}{\partial y} \frac{\partial N_j}{\partial y} + \frac{\partial N_i}{\partial z} \frac{\partial N_j}{\partial z} \\ 0 & \frac{\partial N_i}{\partial x} \frac{\partial N_j}{\partial x} + \frac{\partial N_i}{\partial y} \frac{\partial N_j}{\partial y} + \frac{\partial N_i}{\partial z} \frac{\partial N_j}{\partial z} \end{pmatrix}
$$
  

$$
\left[ \frac{\partial N_i}{\partial x} \frac{\partial N_j}{\partial x} + \frac{\partial N_i}{\partial y} \frac{\partial N_j}{\partial y} + \frac{\partial N_i}{\partial z} \frac{\partial N_j}{\partial z} \right]
$$
(A3)

$$
B = \begin{pmatrix} N_i & 0 & 0 \\ 0 & N_i & 0 \\ 0 & 0 & N_i \end{pmatrix} \begin{pmatrix} \sigma_{xx} & \sigma_{xy} & \sigma_{xz} \\ \sigma_{yx} & \sigma_{yy} & \sigma_{yz} \\ \sigma_{zx} & \sigma_{zy} & \sigma_{zz} \end{pmatrix} \begin{pmatrix} N_j & 0 & 0 \\ 0 & N_j & 0 \\ 0 & 0 & N_j \end{pmatrix}
$$

$$
= \begin{pmatrix} \sigma_{xx}[N_iN_j] & \sigma_{xy}[N_iN_j] & \sigma_{xz}[N_iN_j] \\ \sigma_{yx}[N_iN_j] & \sigma_{yy}[N_iN_j] & \sigma_{zz}[N_iN_j] \\ \sigma_{zx}[N_iN_j] & \sigma_{zy}[N_iN_j] & \sigma_{zz}[N_iN_j] \end{pmatrix}
$$
(A4)

Therefore

$$
K_{11}^{e} = \begin{pmatrix}\n-\frac{bc}{36a}K_{11a}^{e} - \frac{ac}{36b}K_{11b}^{e} \\
-\frac{ab}{36c}K_{11c}^{e} + i\omega\sigma_{xx}\frac{abc}{216}K_{11d}^{e} & i\omega\sigma_{xy}\frac{abc}{216}K_{11d}^{e} \\
i\omega\sigma_{yx}\frac{abc}{216}K_{11d}^{e} & -\frac{bc}{36a}K_{11a}^{e} - \frac{ac}{36b}K_{11b}^{e} \\
-\frac{ab}{36c}K_{11c}^{e} + i\omega\sigma_{yy}\frac{abc}{216}K_{11d}^{e} \\
i\omega\sigma_{zx}\frac{abc}{216}K_{11d}^{e} & i\omega\sigma_{zy}\frac{abc}{216}K_{11d}^{e} \\
i\omega\sigma_{yz}\frac{abc}{216}K_{11d}^{e} & i\omega\sigma_{zy}\frac{abc}{216}K_{11d}^{e} \\
i\omega\sigma_{yz}\frac{abc}{216}K_{11d}^{e} & i\omega\sigma_{yz}\frac{abc}{216}K_{11d}^{e}\n\end{pmatrix}
$$
\n(A5)

where

$$
K_{11a}^e = \begin{bmatrix} 4 & -4 & -2 & 2 & 2 & -2 & -1 & 1 \\ -4 & 4 & 2 & -2 & -2 & 2 & 1 & -1 \\ -2 & 2 & 4 & -4 & -1 & 1 & 2 & -2 \\ 2 & -2 & -4 & 4 & 1 & -1 & -2 & 2 \\ 2 & -2 & -1 & 1 & 4 & -4 & -2 & 2 \\ -2 & 2 & 1 & -1 & -4 & 4 & 2 & -2 \\ -1 & 1 & 2 & -2 & -2 & 2 & 4 & -4 \\ 1 & -1 & -2 & 2 & 2 & -2 & -4 & 4 \end{bmatrix}
$$
(A6)

$$
K_{11b}^{e} = \begin{bmatrix} 4 & 2 & -2 & -4 & 2 & 1 & -1 & -2 \\ 2 & 4 & -4 & -2 & 1 & 2 & -2 & -1 \\ -2 & -4 & 4 & 2 & -1 & -2 & 2 & 1 \\ -4 & -2 & 2 & 4 & -2 & -1 & 1 & 2 \\ 2 & 1 & -1 & -2 & 4 & 2 & -2 & -4 \\ 1 & 2 & -2 & -1 & 2 & 4 & -4 & -2 \\ -1 & -2 & 2 & 1 & -2 & -4 & 4 & 2 \\ -2 & -1 & 1 & 2 & -4 & -2 & 2 & 4 \end{bmatrix}
$$
 (A7)  
\n
$$
K_{11c}^{e} = \begin{bmatrix} 4 & 2 & 1 & 2 & -4 & -2 & -1 & -2 \\ 2 & 4 & 2 & 1 & -2 & -4 & -2 & -1 \\ 1 & 2 & 4 & 2 & -1 & -2 & -4 & -2 \\ -4 & -2 & -1 & -2 & 4 & 2 & 1 & 2 \\ -4 & -2 & -1 & -2 & 4 & 2 & 1 & 2 \\ -2 & -4 & -2 & -1 & 2 & 4 & 2 & 1 \\ -1 & -2 & -4 & -2 & 1 & 2 & 4 & 2 \\ -2 & -1 & -2 & -4 & 2 & 1 & 2 & 4 \end{bmatrix}
$$
 (A8)

$$
K_{11d}^{e} = \begin{bmatrix} 8 & 4 & 2 & 4 & 4 & 2 & 1 & 2 \\ 4 & 8 & 4 & 2 & 2 & 4 & 2 & 1 \\ 2 & 4 & 8 & 4 & 1 & 2 & 4 & 2 \\ 4 & 2 & 4 & 8 & 2 & 1 & 2 & 4 \\ 4 & 2 & 1 & 2 & 8 & 4 & 2 & 4 \\ 2 & 4 & 2 & 1 & 4 & 8 & 4 & 2 \\ 1 & 2 & 4 & 2 & 2 & 4 & 8 & 4 \\ 2 & 1 & 2 & 4 & 4 & 2 & 4 & 8 \end{bmatrix}
$$
 (A9)

(2) *K<sup>e</sup>* 12

 $\Gamma$ .

$$
K_{12}^{e} = \int_{v} i\omega\mu_{0} \sum_{i=1}^{8} \sum_{j=1}^{8} [C]dv
$$
 (A10)

$$
\quad \text{where} \quad
$$

$$
C = N_i \begin{pmatrix} \sigma_{xx} & \sigma_{xy} & \sigma_{xz} \\ \sigma_{yx} & \sigma_{yy} & \sigma_{yz} \\ \sigma_{zx} & \sigma_{zy} & \sigma_{zz} \end{pmatrix} \begin{pmatrix} \frac{\partial N_j}{\partial x} \\ \frac{\partial N_j}{\partial y} \\ \frac{\partial N_j}{\partial z} \end{pmatrix}
$$
  
= 
$$
\begin{pmatrix} \sigma_{xx} \begin{bmatrix} N_i \frac{\partial N_j}{\partial x} \end{bmatrix} + \sigma_{xy} \begin{bmatrix} N_i \frac{\partial N_j}{\partial y} \end{bmatrix} + \sigma_{xz} \begin{bmatrix} N_i \frac{\partial N_j}{\partial z} \end{bmatrix} \\ \sigma_{yx} \begin{bmatrix} N_i \frac{\partial N_j}{\partial x} \end{bmatrix} + \sigma_{yy} \begin{bmatrix} N_i \frac{\partial N_j}{\partial y} \end{bmatrix} + \sigma_{yz} \begin{bmatrix} N_i \frac{\partial N_j}{\partial z} \end{bmatrix} \\ \sigma_{zx} \begin{bmatrix} N_i \frac{\partial N_j}{\partial x} \end{bmatrix} + \sigma_{zy} \begin{bmatrix} N_i \frac{\partial N_j}{\partial y} \end{bmatrix} + \sigma_{zz} \begin{bmatrix} N_i \frac{\partial N_j}{\partial z} \end{bmatrix} \end{pmatrix}
$$
(A11)

therefore

$$
K_{12}^{e} = i\omega\mu_0 \begin{pmatrix} \frac{bc}{72}\sigma_{xx}K_{12a}^{e} + \frac{ac}{72}\sigma_{xy}K_{12b}^{e} + \frac{ab}{72}\sigma_{xz}K_{12c}^{e} \\ \frac{bc}{72}\sigma_{yx}K_{12a}^{e} + \frac{ac}{72}\sigma_{yy}K_{12b}^{e} + \frac{ab}{72}\sigma_{yz}K_{12c}^{e} \\ \frac{bc}{72}\sigma_{zx}K_{12a}^{e} + \frac{ac}{72}\sigma_{zy}K_{12b}^{e} + \frac{ab}{72}\sigma_{zz}K_{12c}^{e} \end{pmatrix}
$$
(A12)

where

$$
K_{12a}^{e} = \begin{bmatrix}\n-4 & 4 & 2 & -2 & -2 & 2 & 1 & -1 \\
-4 & 4 & 2 & -2 & -2 & 2 & 1 & -1 \\
-2 & 2 & 4 & -4 & -1 & 1 & 2 & -2 \\
-2 & 2 & 4 & -4 & -1 & 1 & 2 & -2 \\
-2 & 2 & 1 & -1 & -4 & 4 & 2 & -2 \\
-2 & 2 & 1 & -1 & -4 & 4 & 2 & -2 \\
-1 & 1 & 2 & -2 & -2 & 2 & 4 & -4\n\end{bmatrix}
$$
(A13)

$$
K_{12b}^e = \begin{bmatrix}\n-4 & -2 & 2 & 4 & -2 & -1 & 1 & 2 \\
-2 & -4 & 4 & 2 & -1 & -2 & 2 & 1 \\
-2 & -4 & 4 & 2 & -1 & -2 & 2 & 1 \\
-4 & -2 & 2 & 4 & -2 & -1 & 1 & 2 \\
-4 & -2 & 2 & 4 & -2 & -1 & 1 & 2 \\
-2 & -1 & 1 & 2 & -4 & -2 & 2 & 4 \\
-1 & -2 & 2 & 1 & -2 & -4 & 4 & 2 \\
-1 & -2 & 2 & 1 & -2 & -4 & 4 & 2 \\
-2 & -1 & 1 & 2 & -4 & -2 & 2 & 4\n\end{bmatrix}
$$
\n(A14)  
\n
$$
K_{12c}^e = \begin{bmatrix}\n-4 & -2 & -1 & -2 & 4 & 2 & 1 & 2 \\
-2 & -4 & -2 & -1 & 2 & 4 & 2 & 1 \\
-1 & -2 & -4 & -2 & 1 & 2 & 4 & 2 \\
-4 & -2 & -1 & -2 & 4 & 2 & 1 & 2 \\
-2 & -4 & -2 & -1 & 2 & 4 & 2 & 1 \\
-1 & -2 & -4 & -2 & 1 & 2 & 4 & 2 \\
-2 & -1 & -2 & -4 & 2 & 1 & 2 & 4\n\end{bmatrix}
$$
\n(A15)  
\n(A15)  
\n(A16)  
\n
$$
K_{21}^e
$$

 $K_{21}^e = K_{12}^e$ *<sup>T</sup>* (A16)

(4) *K<sup>e</sup>* 22

$$
K_{22}^{e} = \int_{v} i\omega\mu_0 \sum_{i=1}^{8} \sum_{j=1}^{8} [D]dv
$$
 (A17)

where

$$
D = \begin{pmatrix} \frac{\partial N_i}{\partial x} & \frac{\partial N_i}{\partial y} & \frac{\partial N_i}{\partial z} \end{pmatrix} \begin{pmatrix} \sigma_{xx} & \sigma_{xy} & \sigma_{xz} \\ \sigma_{yx} & \sigma_{yy} & \sigma_{yz} \\ \sigma_{zx} & \sigma_{zy} & \sigma_{zz} \end{pmatrix} \begin{pmatrix} \frac{\partial N_i}{\partial x} \\ \frac{\partial N_j}{\partial y} \\ \frac{\partial N_j}{\partial z} \end{pmatrix}
$$

$$
= (\sigma_{xx} + \sigma_{yx} + \sigma_{zx}) \left[ \frac{\partial N_i}{\partial x} \frac{\partial N_j}{\partial x} \right] + (\sigma_{xy} + \sigma_{yy} + \sigma_{zy})
$$

$$
\left[ \frac{\partial N_i}{\partial y} \frac{\partial N_j}{\partial y} \right] + (\sigma_{xz} + \sigma_{yz} + \sigma_{zz}) \left[ \frac{\partial N_i}{\partial z} \frac{\partial N_j}{\partial z} \right] \qquad (A18)
$$

therefore

$$
K_{22}^{e} = i\omega\mu_{0} \left( \sigma_{xx} \left[ \frac{\partial N_{i}}{\partial x} \frac{\partial N_{j}}{\partial x} \right] + \sigma_{yx} \left[ \frac{\partial N_{i}}{\partial y} \frac{\partial N_{j}}{\partial x} \right] + \sigma_{zx} \left[ \frac{\partial N_{i}}{\partial z} \frac{\partial N_{j}}{\partial x} \right] + \sigma_{xy} \left[ \frac{\partial N_{i}}{\partial x} \frac{\partial N_{j}}{\partial y} \right] + \sigma_{yy} \left[ \frac{\partial N_{i}}{\partial y} \frac{\partial N_{j}}{\partial y} \right] + \sigma_{zy} \left[ \frac{\partial N_{i}}{\partial z} \frac{\partial N_{j}}{\partial y} \right] + \sigma_{xz} \left[ \frac{\partial N_{i}}{\partial x} \frac{\partial N_{j}}{\partial z} \right]
$$

$$
+ \sigma_{yz} \left[ \frac{\partial N_{i}}{\partial y} \frac{\partial N_{j}}{\partial x} \right] + \sigma_{zz} \left[ \frac{\partial N_{i}}{\partial z} \frac{\partial N_{j}}{\partial z} \right] \right) \tag{A19}
$$

where

$$
K_{22a}^e = \begin{bmatrix} 4 & -4 & -2 & 2 & 2 & -2 & -1 & 1 \\ -4 & 4 & 2 & -2 & -2 & 2 & 1 & -1 \\ -2 & 2 & 4 & -4 & -1 & 1 & 2 & -2 \\ 2 & -2 & -4 & 4 & 1 & -1 & -2 & 2 \\ 2 & -2 & -1 & 1 & 4 & -4 & -2 & 2 \\ -2 & 2 & 1 & -1 & -4 & 4 & 2 & -2 \\ -1 & 1 & 2 & -2 & -2 & 2 & 4 & -4 \\ 1 & -1 & -2 & 2 & 2 & -2 & -4 & 4 \end{bmatrix}
$$
  
\n
$$
K_{22b}^e = \begin{bmatrix} 6 & -6 & -6 & 6 & 3 & -3 & -3 & 3 \\ 6 & -6 & -6 & 6 & 3 & -3 & -3 & 3 \\ -6 & 6 & 6 & -6 & -3 & 3 & 3 & -3 \\ -6 & 6 & 6 & -6 & -3 & 3 & 3 & -3 \\ 3 & -3 & -3 & 3 & 6 & -6 & -6 & 6 \\ 3 & -3 & -3 & 3 & 6 & -6 & -6 & 6 \\ -3 & 3 & 3 & -3 & -6 & 6 & 6 & -6 \\ -3 & 3 & 3 & -3 & -6 & 6 & 6 & -6 \\ -3 & 3 & 3 & -3 & -6 & 6 & 6 & -6 \\ -3 & 3 & 3 & -3 & -6 & 6 & 6 & -6 \\ -3 & 3 & 3 & -3 & -6 & 6 & 6 & -6 \\ -3 & 3 & 3 & -3 & -6 & 6 & 6 & -6 \\ -3 & 3 & 3 & -3 & -6 & 6 & 6 & -6 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}
$$

$$
K_{22c}^e=\begin{bmatrix}6&-6&-3&3&6&-6&-3&3\\3&-3&-6&6&3&-3&-6&6\\-6&6&3&-3&-6&6&3&-3&-6\\-6&6&3&-3&-6&6&3&-3&-6\\-6&6&3&-3&-6&6&3&-3&-6\\-3&3&6&-6&-3&3&6&-6\\-3&3&6&-6&-3&3&6&-6\\-3&3&6&-6&-3&3&6&-6\\-3&-3&3&6&-6&-3&3&3&3\\-3&-3&3&3&-6&-6&6&6\\3&3&-3&-3&6&6&-6&-6\\-3&-3&3&3&-6&-6&6&6\\-3&-3&3&3&-6&-6&6&6\\-3&-3&-3&6&6&-6&-6\\-3&-3&2&4&2&1&-1&-2\\-2&-1&2&2&4&-2&-1&1\\-2&2&1&-2&-4&4&2&-2&-4\\-2&-1&1&2&-4&-2&2&4\\-2&-1&1&2&-4&-2&2&4\\-3&-6&6&3&-3&-6&6&3\\-3&-6&6&3&-3&-6&6&3\\-3&-6&6&3&-3&-6&6&3\\-6&-3&3&6&-6&-3&3&6\\-6&-3&3&6&-6&-3&3&6\\-6&-3&3&6&-6&-3&3&3\\-6&6&3&-3&-6&6&3&3\\-6&-6&-3&-3&6&6&3&3\\-6&-6&-3&-3&6&6&3&3\\-8&-6&-6&-3&-3&-6&6&3\\-8&-6&-6&-3&-3&-6&6&3\\-8&-6&-6&-3&-3&-6&-6&-3\\-8&-6&-6&-3&-3&-6&-6&-3\\-8&-6&-6&-3&3&6&6&3\\-8&6&6&-3&-3&-6&-6&-3\\-8&-6&-6&-3&3&6&6&3\\-8&6&6&3&-3&-6&-6&-
$$